

# **Introduction To *K*-theory and Some Applications\***

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# 1. GENERAL INTRODUCTION AND OVERVIEW

## 1.1 What is $K$ -theory?

1.1.1 Roughly speaking,  $K$ -theory is the study of functors (bridges)

$$K_n : (\text{Nice categories}) \rightarrow (\text{category of Abelian groups})$$

$$C \rightarrow K_n C$$

(See 2.4 (ii) for a formal definition of a functor).

**Note:** For  $n \leq 0$ , we have Negative  $K$ -theory

For  $n \leq 2$ , we have Classical  $K$ -theory

For  $n \geq 3$ , Higher  $K$ -theory

### 1.1.2 Some Historical Remarks

$K$ -theory was so christened in 1957 by A. Grothendieck who first studied  $K_0(\mathcal{C})$  (then written  $K(\mathcal{C})$ ) where for a scheme  $X$ ,  $\mathcal{C}$  is the category  $\mathcal{P}(X)$  of locally free sheaves of  $\mathcal{O}_X$ -modules. Because  $K_0(\mathcal{C})$  classifies the isomorphism classes in  $\mathcal{C}$  and he wanted the name of the theory to reflect ‘class’, he used the first letter ‘ $K$ ’ in ‘Klass’ the German word meaning ‘class’.

Next, M.F. Atiyah and F. Hirzebruch, in 1959 studied  $K_0(\mathcal{C})$  where  $\mathcal{C}$  is the category  $\text{Vect}_{\mathbb{C}}(X)$  of finite dimensional complex vector bundles over a compact space  $X$  yielding what became known as topological  $K$ -theory. It is usual to denote  $K_0(\text{Vect}_{\mathbb{C}}(X))$  by  $KU(X)$  or  $K_{\text{top}}^0(X)$ .

In 1962, R.G. Swan proved that for a compact space  $X$ , the category  $\text{Vect}_{\mathbf{C}}(X)$  is equivalent to the category  $\mathbf{P}(C(X))$  of finitely generated projective modules over the ring  $C(X)$  of complex valued functions on  $X$ .

i.e.,

$$\text{Vect}_{\mathbf{C}}(X) \approx \mathbf{P}(C(X)). \text{ So } K_0(\text{Vect}_{\mathbf{C}}(X)) \approx K_0(\mathbf{P}(\mathbf{C}(X))).$$

Thereafter, H. Bass, R.G. Swan, etc. started replacing  $C(X)$  by arbitrary rings  $A$  and studied  $K_0(\mathbf{P}(A))$  for various rings  $A$  leading to the birth of Algebraic  $K$ -theory. Here  $\mathbf{P}(A)$  denotes the category of finitely generated projective modules over any ring  $A$ . It is usual to denote  $K_0(\mathbf{P}(A))$  by  $K_0(A)$  for any ring  $A$ .  $K_1(A)$  of a ring  $A$  was defined by H. Bass and  $K_2(A)$  by J. Milnor. (see [3], [58] and [79]).

In 1970, D. Quillen came up with the definitions of all  $K_n(\mathbf{C})$  for all  $n \geq 0$  in such a way that  $K_0(\mathbf{P}(A))$  coincides with  $K_n(A) \quad \forall \quad n \geq 0$ .

### 1.1.3 Some Features of $K_n(\mathbf{C})$

(1)  $K_n(\mathbf{C})$  sometimes reflects the structure of objects of  $\mathbf{C}$ .

For example,

(i) Let  $F$  be a field,  $G$  a finite group,  $\mathbf{M}(FG)$  the category of finitely generated  $FG$ -modules. Then  $K_0(\mathbf{M}(FG)) := G_0(FG)$  classifies representations of  $G$  in  $\mathbf{P}(F)$  whose  $\mathbf{P}(F)$  is the category of finite-dimensional vector spaces (see [42]),

(ii)  $K_0(ZG)$  contains topological / geometric invariants.

E.g., Swan-Well

Invariants (see 2.7.1)

(iii)  $K_i(ZG)$  contains Whitehead torsion – a topological invariant (see [3.2.3] or [57]).

(2) Each  $K_n(\mathbb{C})$  yields a theory which could map or coincide with other theories.

For example,

- (i) Galois, etale or Motivic cohomology theories (see [37]).
- (ii) De Rham, cyclic cohomology (see [7] or [9, 10])
- (iii) Representation theory, e.g.,  
 $K_0(\mathbf{M}(FG)) \approx G_0(FG)$  coincides with Abelian group of characters of  $G$  (see [8, 42] or 2.3 vii).

(3)  $K_n(\mathbb{C})$  satisfies various exact sequences connecting  $K_n, K_{n-1}$ , etc. For example, Localization sequences, Mayer-vietoris sequence, etc. These sequences are useful for computations (see [42] or [62]).

**1.1.4** A Basic problem in this field is to understand and compute the Abelian groups  $K_n(\mathcal{C})$  for various categories ' $\mathcal{C}$ '.

Two important examples of 'nice' categories are 'Abelian categories' and 'exact categories'. We now formally define these categories with copious examples and also develop notations for  $K_n(\mathcal{C})$  for various  $\mathcal{C}$ .

## 1.2 Abelian and Exact Categories – Definitions, Examples and Notations

**1.2.1** A category consists of a class  $\mathcal{C}$  of objects together with a set  $\text{Hom}_{\mathcal{C}}(X, Y)$  of morphisms from  $X$  to  $Y$ , for each ordered pair  $(X, Y)$  of objects of  $\mathcal{C}$  such that

(1) For each triple  $(X, Y, Z)$  of objects of  $\mathcal{C}$ , we have

composition  $\text{Hom}_{\mathcal{C}}(Y, Z) \times \text{Hom}_{\mathcal{C}}(X, Y) \rightarrow \text{Hom}_{\mathcal{C}}(X, Z)$ .

(2) Composition of morphisms is associative i.e., for composable morphisms  $f, g, h$   $g(hf) = (gh)f$



(3) There exists identity  $1_X \in \text{Hom}(X, X)$  such that if  $g \in \text{Hom}_C(X, Y)$  and  $h \in \text{Hom}_C(Z, X)$ ,  $g 1_X = g$ , and  $1_X h = h$ .

**Examples:**

(i)  $\mathbf{Sp} :=$  category of topological spaces,  $ob(\mathbf{Sp}) =$  topological spaces,  $\text{Hom}_{\mathbf{Sp}}(X, Y) = \{\text{continuous maps } X \rightarrow Y\}$ .

(ii)  $\mathbf{Gp} :=$  category of groups.  $ob(\mathbf{Gp})$  are groups  
 $\text{Hom}_{\mathbf{Gp}}(G, H) =$  groups homomorphisms  $G \rightarrow H$ .

For more examples (see [55]).

### 1.2.2 Examples of Abelian Categories (for motivation)

- (1)  $\mathbf{Ab}$  or  $\mathbf{-Mod}$  := category of Abelian groups.  
 $ob(\mathbf{Ab}) = \text{Abelian groups}$   
. Morphisms are Abelian group homomorphism.
- (2)  $F$  a field;  $F\text{-}\mathbf{Mod}$  := category of vector spaces over  $F$ .  
 $ob(F\text{-}\mathbf{Mod}) := \text{vector spaces}$   
Morphisms are linear transformation
- (3)  $R$  a ring with identity.  
 $(R\text{-}\mathbf{Mod}) := \text{category of } R\text{-modules}$   
Morphisms are  $R$ -module homomorphisms.

### 1.2.3 Definitions of an Abelian Category

A category  $\mathcal{A}$  is called an Abelian category if

- (1) it is an Addictive category, that is:
  - (a) There exists a zero object '0' in  $\mathcal{A}$
  - (b) Direct sum (= direct product) of any two objects of  $\mathcal{A}$  exists in  $\mathcal{A}$ .
  - (c)  $\text{Hom}_{\mathcal{A}}(M, N)$  is an Abelian group such that composition distributes over addition.
- (2) Every morphism in  $\mathcal{A}$  has a kernel and a cokernel.
- (3) For any morphism  $f$ ,  $\text{coker}(\ker f) = \ker(\text{coker } f)$ .

**1.2.4 Note:** A morphism  $g: K \rightarrow M$  is called a kernel of a morphism  $f: M \rightarrow N$  if for any morphism  $h: P \rightarrow M$  with  $f \cdot h = 0$ , there exists a unique arrow  $\kappa: P \rightarrow K$  such that  $h = g \circ \kappa$

$$\begin{array}{ccccc} K & \xrightarrow{g} & M & \xrightarrow{f} & N \\ & & \nwarrow k & \nearrow h & \\ & & P & & \end{array}$$

Equivalently: given an object  $P$  in  $\mathcal{A}$ , we have an exact sequence

$$0 \rightarrow \text{hom}_{\mathcal{A}}(P, K) \xrightarrow{s_{\kappa}} \text{hom}_{\mathcal{A}}(P, M) \xrightarrow{f_p} \text{hom}_{\mathcal{A}}(P, N)$$

is exact.

Analogously, a morphism  $g: N \rightarrow C$  is called a cokernel of  $f: M \rightarrow N$  if for any  $P \in \text{Ob } \mathcal{A}$

$$0 \rightarrow \text{hom}_{\mathcal{A}}(C, P) \rightarrow \text{hom}_{\mathcal{A}}(N, P) \xrightarrow{f^{\wedge}} \text{hom}_{\mathcal{A}}(M, P)$$

is exact.

**Note:** A sequence  $A \xrightarrow{f} B \xrightarrow{g} C$  is said to be exact at  $B$  if  $\ker(g) = \text{Im}(f)$ .

### 1.2.5 Definition of an Exact Category

An exact category is a small additive category  $\mathcal{C}$  (embeddable in an Abelian category  $\mathcal{A}$ ) together with a family  $\mathcal{E}$  of short exact sequences  $0 \rightarrow C' \rightarrow C \rightarrow C'' \rightarrow 0$  (I) such that

- (i)  $\mathcal{E}$  is the class of sequences in  $\mathcal{C}$  that are exact in  $\mathcal{A}$
- (ii)  $\mathcal{C}$  is closed under extensions i.e., for any exact sequence  $0 \rightarrow C' \rightarrow C \rightarrow C'' \rightarrow 0$  in  $\mathcal{A}$  with  $C', C''$  in  $\mathcal{C}$ , we also have  $C \in \mathcal{C}$ .

Before giving a construction of  $K_n(\mathcal{C})$   $n \geq 0$ , we give some relevant examples of  $\mathcal{C}$  and develop notations for  $K_n(\mathcal{C})$ .

## 1.2.6 Examples

1. An Abelian category is an exact category when it is considered together with a family of short exact sequences.

2. Let  $A$  be any ring with identity  $C = \mathbf{P}(A)$  (resp.  $\mathbf{M}(A)$ ) the category of finitely generated projective (resp. finitely generated)  $A$ -modules. Write  $K_n(A)$  for  $K_n(\mathbf{P}(A))$  and  $G_n(A)$  for  $K_n(\mathbf{M}(A))$

For  $n \geq 0$ , e.g.,

(i)  $A = \mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}, \dots$

(ii)  $A = \text{integral domain}, R.$

$A = F$  (a field, - could be quotient field of  $R$ )

$A = D$  (a division ring)

(iii)  $G$  any discrete group (could be finite)

$A = \mathbb{Z}G, RG, \mathbb{Q}G, \mathbb{R}G, \mathbb{C}G$  (in the notation of (i) or (ii).

- These are group-rings.

(iv)  $G$  a finite group,  $\mathbb{Z}G$  is an example of a  $\mathbb{Z}$ -order in the semi-simple algebra  $\mathbb{Q}G$ .

(v) **Definition**

Let  $R$  be a Dedekind domain with quotient field  $F$  (e.g.,  $R = \mathbb{Z}$  (resp.  $\mathbb{Z}_p$ ),  $F = \mathbb{Q}$  (resp.  $\mathbb{Q}_p$ ))

$p$  a rational prime or more generally  $\hat{R}_{\underline{p}}, F_{\underline{p}}$  ( $\underline{p}$  a prime ideal of  $R$ ). An  $R$ -order  $\Lambda$  in semi-simple  $F$ -algebra  $\Sigma$  is a subring of  $\Sigma$  such that  $R$  is contained in the centre of  $\Lambda$ ,  $\Lambda$  is a finitely generated  $R$ -module and

$$F \otimes_R \Lambda = \Sigma, \text{ (E.g., } \Lambda = \mathbb{Z}G, \mathbb{Z}_p G, RG, \hat{R}_{\underline{p}} G \text{ } G \text{ a finite group).}$$

(vi) Let  $A$  be a ring (with 1),  $\alpha: A \rightarrow A$  an automorphism of  $A$ ,  $A_\alpha(T) =$

$A_\alpha(t, t^{-1}) := \alpha$ -twisted Laurent series ring over  $A$  (i.e., Additively  $A_\alpha[T] = A[T]$ , with multiplication given by  $(at^i) \cdot (bt^j) = a \alpha^{-1}(b) t^{i+j}$  for  $a, b \in A$ ). Let  $A_\alpha[t]$  be the subring of  $A_\alpha(T)$  generated by  $A$  and  $t$ .

**Note:** If  $\Lambda = RG$ ,  $\Lambda_\alpha[T] = RV$  where  $V = G \rtimes_\alpha T$  is a virtually infinite cyclic group and  $G$  is a finite group,  $\alpha$  an automorphism of  $G$  and the action of the infinite cyclic group

$T = \langle t \rangle$  on  $G$  is given by  $\alpha(g) = t g t^{-1}$  for all  $g \in G$ .

(3)  $X$  a compact topological space,  $F = \mathbb{C}$  or  $\mathbb{R}$ ,  $\text{Vect}_F(X) :=$  category of finite dimensional vector bundles on  $X$ . (See [2]). Write  $K_n^F(X)$  for  $K_n(\text{Vect}_F(X))$ .

**Theorem (Swan):** There exists an equivalence of categories  $\text{Vect}_{\mathbb{C}}(X) \approx P(\mathcal{C}(X))$  where  $\mathcal{C}(X)$  is the ring of complex-valued functions on  $X$ . Hence

$$K^0(X) := K_n(\text{Vect}_F(X)) \approx K_n(\mathcal{C}(X)) = K_n(C(X)) \quad (\text{I})$$

**Note:** (I) gives the first connection between topological and Algebraic  $K$ -theory. (See [7])



Gelfond-Naimark theorem says that any unital commutative  $C^*$ -algebra  $A$  has the form  $A \approx C(X)$  for some compact space  $X$ . If  $A$  is a non-commutative  $C^*$ -algebra, then  $K$ -theory of  $A$  leads to “non-commutative geometry” in the sense that  $A$  could be conceived as ring of functions on a “non-commutative or quantum” space. Note that any not necessarily unital commutative  $C$ -algebra  $A$  has the form  $C_0(X)$  where  $X$  is a locally compact space and  $X^+ = X \cup \{p_\infty\}$ , the one point compactification of  $X$ . When  $X$  is compact  $C_0(X) = C(X)$ .

Note that  $C_0(X) = \left\{ \alpha : X^+ \rightarrow \mathbf{C} \mid \alpha \text{ continuous and } \alpha(p_\infty) = 0 \right\}$ .

- (4) Let  $X$  be a scheme (e.g., an affine or projective algebraic variety). (See [8] or below). Let  $\mathbf{P}(X)$  be the category of locally free sheaves of  $\mathcal{O}_X$ -modules. Write  $K_n(X)$  for  $K_n(\mathbf{P}(X))$ . Let  $\mathbf{M}(X)$  be the category of coherent sheaves of  $\mathcal{O}_X$ -modules. Write  $G_n(X)$  for  $K_n(\mathbf{M}(X))$ . Note that if  $X = \text{Spec}(A)$ ,  $A$  commutative ring we recover  $K_n(A)$  and  $G_n(A)$ .

### **Recall (Definition of Affine and Projective Varieties)**

- (a) Let  $K$  be an algebraically closed field (e.g.,  $\mathbb{C}$  or algebraic closure of a finite field). Can regard polynomials in  $A = A_n = K[t_1, \dots, t_n]$  as functions  $f : K^n \rightarrow K$ . An algebraic set in  $K^n = \{x \in K^n \text{ satisfying } f_i(x) = 0 \quad 1 \leq i \leq r, f_i \in A\}$ .

- If  $S \subset A$ ,  $V(S) = \{x \in K^n \mid f(x) = 0 \ \forall \ f \in S\}$  define closed sets for a topology (Zariski topology) on the affine space  $K^n$ , also denoted  $\mathbf{A}^n(K)$ .

**Note** that  $(V(S_1) \cup V(S_2)) = V(S_1 S_2)$

$$\bigcap_{i \in I} V(S_i) = V\left(\bigcup_j S_j\right), \quad V(A) = \emptyset, \quad V(\emptyset) = K^n.$$

- Also if  $E \subset K^n$ ,  $I(E) = \{f \in A \mid f(x) = 0 \ \forall \ x \in E\}$  is an ideal in  $A$ .
- Let  $X \subset K^n$  be an algebraic set. A function  $\varphi: X \rightarrow K$  is said to be regular if  $\varphi = f|_X$  for some  $f \in A$ .
- The regular functions on  $A$  form a  $K$ -algebra  $K[X]$  and  $K[X] \cong A / \underline{a}$  where  $\underline{a} = I(X)$ .
- Call  $(X, K[X])$  an affine algebraic variety where  $K[X] = \mathcal{O}_X(X)$ .

(b) Let  $V \in \mathbf{P}(K)$ ,  $P(V)$  = set of lines (i.e., 1-dim subspaces) of  $V$ . Write  $P_n(K)$  for  $P(K^n)$ . Elements of  $P_n(K)$  are classes of  $(n+1)$ -tuples  $[x_0, x_1, \dots, x_n]$  where  $[x_0, \dots, x_n] \cong [\lambda x_0, \dots, \lambda x_n]$  if  $\lambda \neq 0$  in  $K$ .

- If  $S \subset K[t_0, \dots, t_n]$  is a set of homogeneous polynomials  $V(S) = \{x \in P_n(K) \mid f(x) = 0 \quad \forall \quad f \in S\}$ . The  $V(S)$  are closed sets for Zariski topology on  $P_n(K)$ .
- A projective algebraic variety  $X$  is a closed subspace of  $P_n(K)$  together with its induced structure sheaf  $\mathcal{O}_X = \mathcal{O}_{P_n} \mid_X$ .

(5) Let  $G$  be an algebraic group over a field  $F$ , (a closed subgroup of  $GL_n(F)$ ) e.g.,  $SL_n(F)$ ,  $O_n(F)$  and  $X$  a  $G$ -scheme, i.e., there exists an action  $\theta : G \times_F X \rightarrow X$ . Let  $\mathbf{M}(G, X)$  be the category of  $G$ -modules  $M$  over  $X$ . (i.e.,  $M$  is a coherent  $O_X$ -module together with an isomorphism of  $O_{G \times_F X}$ -module  $\theta^*(M) = p_2^*(M)$ , with  $p_2 : G \times_F X \rightarrow X$ ; satisfying some co-cycle conditions) (see [83]). Write  $G_n(G, X)$  for  $K_n(\mathbf{M}(G, X))$ .

- Let  $\mathbf{P}(G, X)$  be the full subcategory of  $\mathbf{M}(G, X)$  consisting of locally free  $O_X$ -modules. Write  $K_n(G, X)$  for  $K_n(\mathbf{P}(G, X))$ . (see [43]).

- (6) Let  $\tilde{G}$  be a semi-simple, connected, and simply connected algebraic group over a field  $F$ .  $\bar{T} \subset \tilde{G}$  a maximal  $G$ -split torus of  $\tilde{G}$ ,  $\tilde{P} \subset \tilde{G}$  a parabolic subgroup of  $\tilde{G}$  containing the torus  $\tilde{T}$ .

The factor variety  $\tilde{G}/\tilde{F}$  is smooth and projective. Call  $\mathbf{F} = \tilde{G}/\tilde{P}$  a flag variety.

E.g.,

$$\tilde{G} = SL_n \quad \tilde{P} = \left\{ \begin{pmatrix} \underline{a} & \underline{b} \\ 0 & \underline{c} \end{pmatrix} \mid \det \underline{a} \det \underline{c} = 1 \quad \underline{a} \in GL_n \quad \underline{c} \in GL_{n-k} \right\}.$$

Then  $\mathbf{F} = \tilde{G}/\tilde{P}$  is the Grassmanian variety of  $k$ -dimensional linear subspaces of an  $n$ -dimensional vector space. Write  $K_n(G, \mathbf{F})$  for  $K_n(P(G, \mathbf{F}))$ . (See [43])

6. Let  $F$  be a field and  $B$  a separable  $F$ -algebra,  $X$  a smooth projective variety equipped with the action of an affine algebraic group  $G$  over  $F$ . Let  $\mathbf{VB}_G(X, B)$  be the category of vector bundles on  $X$  equipped with left  $B$ -module structure. Write  $K_n(X, B)$  for  $K_n(\mathbf{VB}_G(X, B))$ . In particular, in the notation of (5), we write  $K_n(\mathbf{F}, B)$  for  $K_n(\mathbf{VB}_G(\mathbf{F}, B))$ . (See [43])

7. Let  $G$  be a finite group,  $S$  a  $G$ -set. Let  $\underline{S}$  be a category defined by  $ob \underline{S} = \{\text{elements of } S\}$ ;  $\underline{S}(s, t) = \{(g, s) \mid g \in G, gs = t\}$ . Let  $\mathbf{C}$  be an exact category.  $[\underline{S}, \mathbf{C}]$  the category of functors  $\xi : \underline{S} \rightarrow \mathbf{C}$ . Then  $[\underline{S}, \mathbf{C}]$  is also an exact category where a sequence

$0 \rightarrow \xi' \rightarrow \xi \rightarrow \xi'' \rightarrow 0$  is said to be exact in  $[\underline{S}, \mathbf{C}]$  if

$0 \rightarrow \xi'(s) \rightarrow \xi(s) \rightarrow \xi''(s) \rightarrow 0$  is exact in  $\mathbf{C}$ . Write

$K_n^G(\underline{S}, \mathbf{C})$  for  $K_n([\underline{S}, \mathbf{C}])$ .

E.g.,  $\mathbf{C} = \mathbf{M}(A)$ ,  $A$  a commutative ring,

$S = G/H$ , then  $[G/H, \mathbf{M}(A)] = \mathbf{M}(AH)$ .

- $[G/H, \mathbf{P}(A)] = \mathbf{P}_A(AH) = \text{category of finitely generated } AH\text{-modules that are projective over } A. \text{ (i.e., } AH \text{ lattices)}$

$K_n(G/H, \mathbf{M}(A)) := G_n(AH)$ .

If  $A$  is regular, then  $G_n(A, H) \cong G_n(AH)$ . (See [25])

## 2. $K_0(\mathcal{C})$ , $\mathcal{C}$ AN EXACT CATEGORY: DEFINITIONS AND EXAMPLES

**2.1** Define the Grathendieck group  $K_0(\mathcal{C})$  of an exact category  $\mathcal{C}$  as the Abelian group generated by isomorphism classes  $(C)$  of  $\mathcal{C}$ -objects subject to the relations  $(C') + (C'') = (C)$  wherever  $0 \rightarrow C' \rightarrow C \rightarrow C'' \rightarrow 0$  is exact in  $\mathcal{C}$ .

### 2.2 Remarks

- (i)  $K_0(\mathcal{C}) \cong F/R$  where  $F$  is the free Abelian group on the isomorphism classes  $(C)$  of  $\mathcal{C}$ -objects and  $R$  is the subgroup generated by all  $(C') + (C'') - (C)$  for each short exact sequence  $0 \rightarrow C' \rightarrow C \rightarrow C'' \rightarrow 0$  in  $\mathcal{C}$ . Denote by  $[C]$  the class of  $(C)$  in  $K_0(\mathcal{C})$ .



- (ii) The construction in 2.1 satisfies a universal property. If  $\chi : C \rightarrow A$  is a map from  $C$  to an Abelian group  $A$ , given that  $\chi(C)$  depends only on the isomorphism class of  $C$  and  $\chi(C'') + \chi(C') = \chi(C)$  for any exact sequence  $0 \rightarrow C' \rightarrow C \rightarrow C'' \rightarrow 0$ , then there exists, a unique homomorphism  $\chi' : K_0(C) \rightarrow A$  such that  $\chi(C) = \chi'(C)$  for any  $C$ -object  $C$ .
- (i) Let  $F : C \rightarrow D$  be an exact functor between two exact categories  $C, D$  (i.e.,  $F$  is additive and takes short exact sequences in  $C$  to short exact sequences in  $D$ ). Then  $F$  induces a group homomorphism  $K_0(C) \rightarrow K_0(D)$ .
- (ii) Note that an Abelian category  $A$  is also an exact category and the definition of  $K_0(A)$  is the same as in definition 2.1.

- (i) If  $\mathcal{C}$  is an exact category in which every s.e.s  $0 \rightarrow C' \rightarrow C \rightarrow C'' \rightarrow 0$  splits. E.g.,  $\mathcal{P}(A)$ ,  $\text{Vect}_{\mathcal{C}}(X)$ , then  $K_0(\mathcal{C})$  is the Abelian group on isomorphism classes of  $\mathcal{C}$ -objects with relation  $(C') + (C'') = (C' \oplus C'')$ . In this case,  $(\mathcal{C}, \oplus)$  is an example of a “symmetric monoidal category” with one property that the isomorphism classes of objects of  $\mathcal{C}$  form an Abelian monoid and  $K_0(\mathcal{C})$  is then the ‘group completion’ or ‘Grathendiuk group’ of such a monoid (see [42], Chapter 1, 1.2, 1.3). In fact, this construction generalizes standard procedure of constructing integers from the natural numbers.

## 2.3 Examples

- (i) If  $A$  is a field or division ring or a local ring or a principal ideal domain, then  $K_0(A) \cong \mathbf{Z}$ . This follows from the fact that every  $P \in \mathbf{P}(A)$  is free (i.e.,  $P \cong A^s$  for some  $s$ ) and moreover,  $A$  satisfies the invariant bases property i.e.,  $A^r \cong A^s \Rightarrow r = s$ .
- (ii) Let  $A$  be a (left) Noetherian ring (i.e., every left ideal is finitely generated). Then the category  $(\mathbf{M}(A))$  of finitely generated (left)- $A$ -modules is an exact category and we denote  $K_0(\mathbf{M}(A))$  by  $G_0(A)$ . The inclusion functor  $\mathbf{P}(A) \rightarrow \mathbf{M}(A)$  induces a map  $K_0(A) \rightarrow G_0(A)$  called the Cartan map. For example,  $A = RG$  ( $R$  a Dedekind domain,  $G$  a finite group) yields a Cartan map  $K_0(RG) \rightarrow G_0(RG)$ .

If  $\Lambda$  is left Artinian i.e., the left ideals of  $\Lambda$  satisfy descending chain condition, then  $G_0(\Lambda)$  is free Abelian on  $[S_1], \dots, [S_r]$  where the  $[S_i]$  are distinct classes of simple  $\Lambda$ -modules, while  $K_0(\Lambda)$  is free Abelian on  $[I_1], \dots, [I_t]$  and the  $I_i$  are distinct classes of indecomposable projective  $\Lambda$ -modules (see [8]). So, the map  $K_0(\Lambda) \rightarrow G_0(\Lambda)$  gives matrix  $(a_{ij})$  where  $a_{ij}$  = the number of times  $S_j$  occurs in a composition series for  $I_i$ . This matrix is known as the Cartan matrix.

If  $\Lambda$  is left regular (i.e., every finitely generated left  $\Lambda$ -module has finite resolution by finitely generated projective left  $\Lambda$ -modules), then it is well known that the Cartan map is an isomorphism.

(iii) Recall also that a maximal  $R$ -order  $\Gamma$  in  $\Sigma$  is an order that is not contained in any other  $R$ -order. Note that  $\Gamma$  is regular. So, as in (ii) above, we have Cartan maps  $K_0(\Gamma) \rightarrow G_0(\Gamma)$  and when  $\Gamma$  is a maximal order, we have  $K_0(\Gamma) \cong G_0(\Gamma)$ .

(i) Let  $R$  be a commutative ring with identity.  $\Lambda$  an  $R$ -algebra. Let  $\mathbf{P}_R(\Lambda)$  be the category of left  $\Lambda$ -modules that are finitely generated and projective as  $R$ -modules (i.e.,  $\Lambda$ -lattices). Then  $\mathbf{P}_R(\Lambda)$  is an exact category and we write  $G_0(R, \Lambda)$  for  $K_0(\mathbf{P}_R(\Lambda))$ . If  $\Lambda = RG$ ,  $G$  a finite group, we write  $\mathbf{P}_R(G)$  for  $\mathbf{P}_R(RG)$  and also write  $G_0(R, G)$  for  $G_0(R, RG)$ . If  $M, N \in \mathbf{P}_R(\Lambda)$ , then, so is  $(M \otimes_R N)$ , and hence the multiplication given in  $G_0(R, G)$  by  $[M][N] = (M \otimes_R N)$  makes  $G_0(R, G)$  a commutative ring with identity.

- (v) If  $R$  is a commutative regular ring and  $\Lambda$  is an  $R$ -algebra that is finitely generated and projective as an  $R$ -module (e.g.,  $\Lambda = RG$ ,  $G$  a finite group or  $R$  is a Dedekind domain with quotient field  $F$ , and  $\Lambda$  is an  $R$ -order in a semi-simple  $F$ -algebra), then  $G_0(R, \Lambda) \cong G_0(\Lambda)$
- (i) Let  $F$  be a field,  $G$  a finite group. A representation of  $G$  in  $P(F)$  is a group homomorphism  $\rho : G \rightarrow \text{Aut}(V)$   $V \in P(F)$ . Call  $V$  a representation space for  $\rho$ . The dimension of  $V$  over  $F$  is called the degree of  $\rho$ .

**Note:**

- $\rho$  determines a  $G$ -action on  $V$  i.e.,  
 $G \times V \rightarrow V \quad (g, v) \rightarrow \rho(g)v = gv$  and vice versa.
- Two representations  $(V_1, \rho)$  and  $(V_1', \rho')$  are said to be equivalent if there exists an  $F$ -isomorphism  $\beta : V \cong V'$  such that  $\rho'(g) = \beta \rho(g)$

- There exists, 1 – 1 correspondence between representations of  $P$  in  $\mathbf{P}(F)$  and  $FG$ -modules.
- Can write a representation of  $G$  in  $\mathbf{P}(F)$  as a pair  $(V, \rho)$ .  $V \in \mathbf{P}(F)$  and  $\rho : G \rightarrow \text{Aut}(V)$ .
- If  $\mathbf{C}$  is any category and  $G$  a group. A representation of  $G$  in  $\mathbf{C}$  (or a  $G$ -object in  $\mathbf{C}$ ) is a pair  $(X, \rho)$   $X \in \text{ob } \mathbf{C}$ ,  $\rho : G \rightarrow \text{Aut}(X)$  a group-homomorphism.

The  $G$ -objects in  $\mathbf{C}$  forms a category  $\mathbf{C}_G$  where for  $(X, \rho), (X', \rho')$ ,  $\text{mor}_{\mathbf{C}_G}(X, \rho), (X', \rho')$  is the set of all  $\mathbf{C}$ -morphisms  $\alpha : X \rightarrow X'$  such that for each  $g \in G$ , the diagram

$$\begin{array}{ccc}
 X & \xrightarrow{\rho_g} & X \\
 \downarrow \alpha & & \downarrow \alpha \\
 X' & \xrightarrow{\rho'_g} & X'
 \end{array}
 \quad \text{commutes}$$

(vii) Let  $G$  be a finite group,  $S$  a  $G$ -set,  $\underline{S}$  the category associated to  $S$ ,  $\mathcal{C}$  an exact category,  $[\underline{S}, \mathcal{C}]$  the category of covariant functors  $\zeta : \underline{S} \rightarrow \mathcal{C}$ . We write  $\zeta_s$  for  $\zeta(s)$ ,  $s \in S$ . Then,  $[\underline{S}, \mathcal{C}]$  is an exact category where the sequence  $0 \rightarrow \zeta' \rightarrow \zeta \rightarrow \zeta'' \rightarrow 0$  in  $[\underline{S}, \mathcal{C}]$  is defined to be exact if  $0 \rightarrow \zeta'_s \rightarrow \zeta_s \rightarrow \zeta''_s \rightarrow 0$  is exact in  $\mathcal{C}$  for all  $s \in S$ . Denote by  $K_0^G(S, \mathcal{C})$  the  $K_0$  of  $[\underline{S}, \mathcal{C}]$ . Then  $K_0^G(-, \mathcal{C}) : G\text{ Set} \rightarrow Ab$  is a functor called ‘Mackey’ functor. We also note the fact that  $K_n^G(-, \mathcal{C})$ ,  $n \geq 0$  is also a ‘Mackey’ functor. (See [42])

If  $\underline{S} = \underline{G/G}$ , then  $[\underline{G/G}, \mathcal{C}] \cong \mathcal{C}_G$  analogous constructions to the one above can be done for  $G$ , a profinite group, and compact Lie groups (see [42], [28], [35]).



Now if  $R$  is a commutative Noetherian ring with identity, we have  $[\underline{G/G}, \mathbf{P}(R)] \cong \mathbf{P}(R)_G \cong \mathbf{P}_R(RG)$ , and so,  $K_0^G(\underline{G/G}, P(R)) \cong G_0(R, G) \cong G_0(RG)$ . This provides an initial connection between  $K$ -theory of the group ring  $RG$  and Representation theory. As observed in (iv) above  $G_0(R, G)$  is also a ring.

In particular, when  $R = \mathbf{C}$ ,  $\mathbf{P}(\mathbf{C}) = \mathbf{M}(\mathbf{C})$ , and  $K_0(\mathbf{P}(\mathbf{C})_G) \cong G_0(\mathbf{C}, G) = G_0(\mathbf{C}G)$  is the Abelian group of characters,  $\chi: G \rightarrow \mathbf{C}$  (see [30]), as already observed in this paper.

If the exact category  $\mathbf{C}$  has a pairing  $\mathbf{C} \times \mathbf{C} \rightarrow \mathbf{C}$ , which is naturally associative and commutative, and there exists  $E \in \mathbf{C}$  such that  $(E, M) = (M, E) = M$  for all  $M \in \mathbf{C}$ , then  $K_0^G(-, \mathbf{C})$  is a Green functor and moreover, for all  $n \geq 0$ ,  $K_n^G(-, \mathbf{C})$  is a module over  $K_0^G(-, \mathbf{C})$ . (See [42])

## 2.4 $K_0$ of Schemes

### (i) More Examples of Abelian Categories: Functor Categories and Sheaves

- Let  $\mathbf{B}$  be a small category i.e., (*ob*  $\mathbf{B}$  is a set),  $\mathbf{A}$  an Abelian category. Then the category of functors  $\mathbf{B} \rightarrow \mathbf{A}$  is also an Abelian category denoted by  $\mathbf{A}^{\mathbf{B}}$ .

**Note:** *ob*  $\mathbf{A}^{\mathbf{B}} = \{\text{functors} : \mathbf{B} \rightarrow \mathbf{A}\}$

Morphisms are natural transformations of functors.

- **Recall.** Let  $\mathcal{C}, \mathcal{D}$  be two categories. A covariant (resp. contravariant) functor from  $\mathcal{C}$  to  $\mathcal{D}$  is an assignment to each object  $C \in \text{ob}(\mathcal{C})$  an object  $F(C)$  in  $\mathcal{D}$  as well as an assignment to each morphism  $f, C \rightarrow C'$ , a  $\mathcal{D}$ -morphism  $F(f) : F(C) \rightarrow F(C')$  (resp.  $F(C') \rightarrow F(C)$ ) such that
  1.  $F(1_C) = 1_{F(C)}$  for any  $C \in \mathcal{C}$  ;
  2.  $F(gf) = (F(g)F(f))$  (resp.  $F(gf) = F(f)F(s)$ ).

### Example:

1.  $R$  a commutative ring,  $F : R\text{-}\mathbf{Mod} \rightarrow \mathbf{Mod}$  given by  $F = \text{Hom}_R(-, N)$   
 $N$  fixed in  $R\text{-}\mathbf{Mod}$ .  $F$  is contravariant  $F' = \text{Hom}_R(M-)$   
 is covariant.

In fact  $\text{Hom}_R(-, -)$  is a bifunctor

$$R\text{-}\mathbf{Mod} \times R\text{-}\mathbf{Mod} \rightarrow \mathbf{Mod}$$

$$(M, N) \rightarrow \text{Hom}_R(M, N)$$

covariant in  $N$  and contravariant in  $M$ .

$$2. \quad F : (\text{Groups}) \rightarrow \mathbf{mod}$$

$$G \rightarrow G/[G, G]$$

is covariant – called Abelianization functor.

- Let  $F, F'$  be two functors  $\mathbf{C} \rightarrow \mathbf{D}$ . A natural transformation from  $F$  to  $F'$  is an assignment to an object  $C \in \mathbf{C}$  a  $\mathbf{D}$ -morphism  $\eta_C : F(C) \rightarrow F'(C)$  such that if  $\alpha : C \rightarrow C'$  is a  $\mathbf{C}$ -morphism, then the diagram

$$\begin{array}{ccc} FC & \xrightarrow{\eta_C} & F'C \\ \downarrow F(\alpha) & & \downarrow F'(\alpha) \\ FC' & \xrightarrow{\eta_{C'}} & F'C' \end{array} \quad \text{commutes}$$

- **Note:** A functor (roughly speaking) is a ‘bridge’ for crossing from one category to another.
- Any partially ordered set  $(E, \leq)$  has the structure of a category where

$$ob(E) = \text{elements of } E$$

$$\text{hom}_E(x, y) = \emptyset \text{ unless } x \leq y.$$

- Let  $X$  be a topological space,  $\mathcal{U}$  the poset of open subsets of  $X$ . A contravariant functor  $F : \mathcal{U} \rightarrow \mathcal{A}$  ( $\mathcal{A}$  an Abelian category) is called a *presheaf* on  $X$ .

**Note:** The presheaves on  $X$  form an Abelian category denoted by

$$\text{Presh}(X).$$

A sheaf on  $X$  is a presheaf  $F$  satisfying:

If  $\{U_i\}$  is an open covering of a subset  $U \subset X$ , then we have an exact sequence:

$$0 \rightarrow F(U) \rightarrow \prod F(U_i) \rightrightarrows \prod_{i < j} F(U_i \cap U_j)$$

(i.e., if  $f_i \in F(U_i)$  are such that  $f_i$  and  $f_j$  agree on  $F(U_i \cap U_j)$ , then there exists, a unique  $f \in F(U)$  that maps to every  $f_i$  under  $F(U) \rightarrow F(U_i)$ ).

**Note:**  $\mathbf{Sh}(X)$  is also an Abelian category. (See [93] or [18])

- (i) A ringed space  $(X, \mathcal{O}_X)$  is a topological space  $X$  together with a sheaf  $\mathcal{O}_X$  of rings on  $X$ .
- (ii) An  $\mathcal{O}_X$ -module is a sheaf  $M$  together with a sheaf morphism  $\mathcal{O}_X \times M \rightarrow M$  s.t for each  $U \subset X$ ,  $M(U)$  is a unitary  $\mathcal{O}_X(U)$ -module.

(ii) Let  $R$  be a commutative ring with identity  $\text{Spec}(R) = \{\text{prime ideals of } R\}$

A subset  $Y \subset \text{Spec}(R)$  is closed iff

$$Y = V(I) = \{\underline{p} \in \text{Spec}(R) \mid \underline{p} \supset I\}, I \text{ an ideal of } R.$$

One could view  $R$  as the ring of functions on  $\text{Spec}(R)$  and  $V[I]$  as the set of points  $y \in \text{Spec}(R)$  at which all the functions in  $I$  vanish. If  $f \in R$  is viewed as a function on  $\text{Spec}(R)$ , its value at  $y \in \text{Spec}(R)$  is its image in the residue class field  $k(y) := \text{the field of fractions of } R/y$ .

- If  $X = \text{Spec}(R)$ , there exists a sheaf of rings  $\mathcal{O}_X$  on  $X$  where  $\mathcal{O}_X(U) = S^{-1}R$  and  $S = \{f \in R \mid \forall y \in U, f \notin y\}$   
 $\mathcal{O}_X(X) = R$ . Call the ringed space  $\text{Spec}(X, \mathcal{O}_X)$  an affine scheme.

- (iii) A scheme is a topological space  $X$  together with a sheaf of rings on  $X$  such that  $X = \bigcup U_i$ , ( $U_i$  open in  $X$ ) and  $(U_i, \mathcal{O}_X|_{U_i})$  is an affine scheme.

A morphism of schemes  $f, X \rightarrow Y$  is a continuous map of the underlying topological space together with (for each open set  $U \subset Y$ ) a ring homomorphism  $f_U^* : \mathcal{O}_Y(U) \rightarrow \mathcal{O}_X(f^{-1}U)$  compatible with the restriction maps for each  $V \subset U$ . In addition, we require that for  $x \in f^{-1}(U)$   $g \in \mathcal{O}_Y(U)$ , if  $g$  vanishes on  $f(x)$ , then  $f^*(g) \in \mathcal{O}_X(f^{-1}U)$  vanishes at  $x$ .



**Note:** Say that  $f \in O_Y(U)$  vanishes at a point  $y \in U$  if given any affine neighbourhood  $W$  of  $y$ , the image of  $f$  in  $O_W(U \cap W)$  lies in the prime ideal corresponding to  $y$ .

**Recall:**  $k[X] = k[t_1, \dots, t_n] / \underline{a}_X$ . View  $f \in k[X]$  as a function on the set of points of  $X$ .

(iv) A scheme  $X$  over  $Z$  is a morphism of schemes  $X \rightarrow Z$   
 Let  $X_1, Y$ , be schemes over  $Z$

$$\begin{array}{ccc} X \times_Z Y & \rightarrow & Y \\ \downarrow & & \downarrow g \quad (I) \text{ pull back} \\ X & \xrightarrow{f} & Z \end{array}$$

$X \times_Z Y$  is the fibre product in the category of schemes over  $Z$  given by the diagram (I).

$X \times_Z Y$  is the fibre product in the category of schemes over  $Z$  given by the diagram (I).

- If  $X = \text{Spec}(A), Y = \text{Spec}(B) \quad Z = \text{Spec}(C)$   

$$X \times_Z Y = \text{Spec}\left(A \otimes_C B\right)$$
- Put  $A_X^n = \text{Spec}(Z[t_1, \dots, t_n])$   

$$A_X^n = A_Z \times_{\text{Spec}(Z)} X$$

(v) Let  $X$  be a scheme. Define an algebraic bundle on  $X$  as a morphism of schemes  $\pi : E \rightarrow X$  together with maps

$$s : E \times_X E \rightarrow E$$

$$\mu : A_Z^1 \times_{\text{Spec}(Z)} E \rightarrow E$$

(satisfying axioms similar to those of a topological vector bundles) together with local triviality: i.e., there exists an open covering  $X = \bigcup U_\alpha$  of  $X$  together with isomorphism

$$E|_{U_\alpha} \cong \pi^{-1}(U_\alpha) \cong \mathbf{A}^n$$

**Recall** that a topological vector bundle  $E$  over  $X$  consists of continuous maps  $\pi : E \rightarrow X$  and  $\mathbf{C} \times E \rightarrow E$  (scalar multiplier),  $\rho : E \times_X E \rightarrow E$  (addition) satisfying

$$(1) \quad \text{for } \lambda \in \mathbf{C}, v \in E, \quad \pi(\lambda \cdot (v)) = \pi(v),$$

$$\pi(\rho(v, w)) = \pi(v)$$

$$(2) \quad \pi(\rho(v, w)) = \pi(w)$$

$$(3) \quad \text{If } E_x = \pi^{-1}(x), \quad \mu : \mathbf{C} \times E_x \rightarrow E_x, \quad \sigma_x : E_x \times E_x \rightarrow E_x \text{ makes } E_x \text{ into a complex vector space.}$$

(vi) It is usual to view a vector bundle  $\pi : E \rightarrow X$  via its sheaf of sections  $\mathcal{E}(U) = \{ \text{maps } s : U \rightarrow E \text{ s.t. } \pi \circ s = \text{id} \}$  i.e.,  $\mathcal{E}$  is required to be a locally free sheaf of  $\mathcal{O}_X$ -modules i.e., there exists an open cover  $X = \bigcup U_\alpha$  such that

$$\mathcal{E}|_{U_\alpha} \cong \mathcal{O}_{U_\alpha}^{n_\alpha} \text{ for each } n_\alpha \in \mathbf{N}.$$

A morphism of bundles is just an  $\mathcal{O}_X$ -linear map  $f : \mathcal{E} \rightarrow \mathcal{F}$  i.e., for each open set  $U \subset X$  we have an  $\mathcal{O}_X(U)$ -linear map of modules  $f|_U$

$\mathcal{E}(U) \rightarrow \mathcal{F}(U)$  s.t for  $V \subset U$ , the map  $\rho_{vu} f|_U = f|_V \rho_{vU}$ .

(i) If  $X$  is a scheme. Define  $K_0(X) := K_0(\mathbf{P}(X))$ .

If  $E$  is a vector bundle,  $\mathcal{E}$  a locally free sheaf with

$$[E] = [\mathbf{E}] \in K_0(X)$$

$$[\mathbf{E}] \cdot [\mathbf{F}] = [\mathbf{E} \otimes_{\mathcal{O}_X} \mathbf{F}] \quad (\text{product in } K_0(X) \text{ where } (\mathbf{E} \otimes \mathbf{F})(U) = \mathbf{E}(U) \otimes_{\mathcal{O}_X} \mathbf{F}(U)).$$

So  $K_0(X)$  is a commutative ring.

- If  $f : X \rightarrow Y$  is a morphism of schemes, there exists an exact functor  $f^* : \mathbf{P}(Y) \rightarrow \mathbf{P}(X) : \mathbf{E} \rightarrow f^* \mathbf{E}$

**Note:** If  $U \subset X$ ,  $V \subset Y$ , are affine open sets with  $f(U) \subset V$ , then

$$f^* : K_0(Y) \rightarrow K_0(X)$$

So  $K_0$  is a contravariant functor (schemes)  $\longrightarrow$  (commutative rings)

(iii) If  $X$  is a smooth projective curve over a field  $k$ , (see [18]) then

$$K_0(X) \approx \mathbb{Z} \oplus \text{Pic}(X)$$

$$[E] \rightarrow rk(E) \oplus \left( \Lambda^{rk(E)} E \right)$$

where  $\text{Pic}(X)$  = group of isomorphism classes of line bundles (i.e., vector bundles of rank 1) over  $X$ .

(iv)  $K_0\left(\mathbf{P}_k^n\right) \cong \mathbf{Z}^{n+1}$

(v) If  $X$  is a regular scheme (i.e., any coherent sheaf of  $\mathcal{O}_X$ -modules has a finite global resolution by locally free sheaves) then  $K_0(X) \cong G_0(X)$ .

## 2.5 Some Topological $K$ -theory

**2.5.1** Let  $X$  be a compact space.

**Recall:**  $K_{\mathbf{C}}^0(X) := K_0(\text{Vect}_{\mathbf{C}}(X)) \cong K_0(\mathbf{C}X)$ .  $K_{\mathbf{C}}^0(X)$  is also written  $K_{\text{tor}}^0(X)$  or  $KU(X)$ .

$$K_{\mathbf{R}}^0(X) := K_0(\text{Vect}_{\mathbf{R}}(X)).$$

Write  $KO(X)$  for  $K_0(\text{Vect}_{\mathbf{R}}(X))$ .

Note:  $K_{\text{tor}}^0(X)$  as a generalized cohomology theory arises as homotopy groups of spectra. We now introduce the notion of spectra.

**2.5.2** An  $\Omega$ -spectrum  $\underline{E}$  is a set of pointed spaces  $\{E^0, E^1, \dots\}$  each of which has the homotopy type of a CW-complex such that each map  $E^i \rightarrow \Omega(E^{i+1})$  is a homotopy equivalence i.e., we have a ‘sequence of homotopy equivalences  $E^0 \cong \Omega E^1 \cong \Omega^2 E^2 \cong \dots \cong \Omega^n E^n$ .

### 2.5.3 Theorem (see [2]).

Let  $\underline{E}$  be an  $\Omega$ -spectrum. For any topological space  $A \subset X$ , put 
$$h_{\underline{E}}^n(X, A) = [(X, A), E^n] \quad n \geq 0.$$

Then  $(X, A) \rightarrow h_{\underline{E}}^*(X, A)$  is a generalized cohomology theory, namely, it satisfies all of the Eilenberg-Steenrod axioms except that its value at a point  $(*, \emptyset)$  may not be that of ordinary cohomology.



So,

(1)  $h_E^*(-)$  is a functor (Topological pairs)  $\rightarrow$  (Graded Abelian groups),

(2) For each  $n \geq 0$ , and each pair  $(X, A)$  of spaces, there exists, a functorial connecting homomorphism

$$\alpha : h_{\underline{E}}^n(A) \rightarrow h_{\underline{E}}^{n+1}(X, A)$$

(3) The connecting homomorphisms in (2) determine long exact sequence for every pair  $(X, A)$ .

(4)  $h_{\underline{E}}^n(-)$  satisfies excision i.e., for every pair  $(X, A)$  and every subspace  $U \subset A$  s.t.  $\overline{U} \subset \text{Int}(A)$

$$h_{\underline{E}}^*(X, A) \cong h_{\underline{E}}^m(X - U, A - U)$$

**Note:** Above,  $h_{\underline{E}}^\infty(X) := h_{\underline{E}}^*(X, \phi) = h_{\underline{E}}^*(X_+, *)$  where  $X_+$  is the disjoint union of  $X$  and a point  $*$ .

**2.5.4**  $KO_{\text{ton}}^*(-), K_{\text{ton}}^*(-) = KU(-)$  are the generalized cohomology theories associated to the  $\Omega$ -spectrum given by  $BO \times \mathbf{Z}$  and  $BU \times \mathbf{Z}$  i.e.,

$$K_{\text{ton}}^{2j}(X) = [X, BU \times \mathbf{Z}]$$

$$K_{\text{ton}}^{2j-1}(X) = [X, U]; K.$$

## 2.5.5 Bott Periology

$$1. \quad BO \times \mathbf{Z} \sim \Omega^8(BO \times \mathbf{Z})$$

Moreover, the homotopy groups  $\pi_i(BO \times \mathbf{Z}) \cong KO^i$  are given by  $\mathbf{Z}, \mathbf{Z}/2, \mathbf{Z}/2, 0, \mathbf{Z}, 0, 0, 0$  respectively for  $i \equiv 0, 1, 2, 3, 4, 5, 6, 7 \pmod{8}$

$$2. \quad BU \times Z \sim \Omega^2(BU \times \mathbf{Z}) \text{ and } \pi_i(BU \times \mathbf{Z}) = \begin{cases} Z & \text{if } i \text{ is even} \\ 0 & \text{if } i \text{ is odd} \end{cases}.$$

3. For any topological space  $X$ , and any  $i \geq 0$ , we have a natural homomorphism

$$\beta : K_{top}^{-1}(X) \rightarrow K_{top}^{-i-2}(X)$$

Note:

$$\text{For } i \in \mathbf{Z}, K_{top}^i(X) = \begin{cases} K_{top}^0(X) & \text{for } i \text{ even} \\ K_{top}^{-1}(X) & \text{for } i \text{ odd} \end{cases},$$

Let  $S^0 = (*, *) = *$ .

Then

$$K_{\text{top}}^n(*) = \begin{cases} \mathbf{Z} & \text{if } n \text{ is even} \\ 0 & \text{if } n \text{ is odd} \end{cases}$$

$$K_{\text{top}}^i(S^n) = \begin{cases} \mathbf{Z} & \text{if } n \text{ is even} \\ 0 & \text{if } n \text{ is odd} \end{cases}$$

## 2.6 $K$ -theory of $C^*$ -algebras

**2.6.1** A  $C^*$ -algebra is a Banach algebra satisfying  $|a * a| = |a|^2$  for all  $a \in A$ . Let  $A$  be a  $C^*$ -algebra. Define

$$K_i^{\text{ton}}(A) := \pi_i(BGL(A)) = \pi_{i-1}(GL(A)) \cdot (GL(A) \text{ is a topological group}).$$

**Note:**  $K_0(A) = K_0(P(A)) \approx K_0^{\text{ton}}(A) = \pi_0(GL(A))$ .

$K_i(A) := GL(A)/GL_0(A)$  where  $GL_0(A)$  is the connected component of the identity in  $GL(A)$  ...

Bott periodicity is also satisfied i.e.,  $K_n^{ton}(A) = K_{n+2}(A) \quad \forall \quad n \geq 0$  and so, the theory is  $\mathbf{Z}_2$ -graded having only  $K_0^{ton}(A) = K_0(A)$  and  $K_1^{ton}(A)$ .

## 2.6.2 Example

1. Let  $G$  be a discrete groups,  $\ell^2(G)$  the Hilbert space of square summable complex-valued functions on  $G$ , i.e., any element of  $f \in \ell^2(G)$  can be written as

$$f = \sum_{g \in G} \lambda_g g, \lambda_g \in \mathbf{C}, \sum_{g \in G} (\lambda_g)^2 < \infty.$$

The group algebra  $\mathbf{C} G$  is a subspace of  $\ell^2(G)$ . There exists a left regular representation  $\lambda_G$  of  $G$  on the space  $\ell^2(G)$  given by

$$\lambda_G(g) \left( \sum_{h \in G} \lambda_h h \right) = \sum_{g \in G} \lambda_g gh$$

where  $g \in G$  and

$$\sum \lambda_h h \in \ell^2 G.$$

This unitary representation extends linearly to  $\mathbf{C} G$ .

Now define reduced  $C^*$ -algebra  $C_r^* G$  of  $G$  by the image of  $\lambda_G(\mathbf{C} G)$  in the  $C^*$ -algebra of bounded operators on  $\ell^2(G)$ .

- If  $G$  is finite, the  $C_r^\alpha(G) = \mathbf{C} G$  and  $K_0(\mathbf{C} G) = R(G)$  the additive groups of representation ring of  $G$ .
- (i)  $K_0(\mathbf{C}) = \mathbf{Z}, K_1(\mathbf{C}) = \pi_G GL(\mathbf{C}) = 0$  such that  $GL(\mathbf{C})$  is connected.
- (ii)  $H G = \mathbf{Z} / 2, K_0(C_r^*(G)) \cong K_0(\mathbf{C}) \oplus K_0(\mathbf{C}) \cong \mathbf{Z} \oplus \mathbf{Z}$  since  $C_r^n G \cong \mathbf{C} G = \mathbf{C} \oplus C$ .

## 2.7 Some Applications of $K_0(\mathbf{C})$

### 2.7.1 Geometric and Topological Invariants

Let  $R = \mathbf{Z}\pi_1(X)$ , the integral grouping of the fundamental group of a space of the homotopy type of a  $CW$ -complex.

#### Theorem (Wall) [87]

1. Let  $C = (C_*, d)$  be a chain complex of projective  $R$ -modules that is homotopic to a chain complex of finite type of projective  $R$ -modules. Then  $C = (C_*, d)$  is chain homotopic to a chain complex of finite type of free  $R$ -modules iff the Euler characteristics  $\chi(C) = 0$  in  $K_0(R)$ .

**Note:** A bounded chain complex  $C = (C_r, d)$  of  $R$ -modules is of finite type if all  $C_i$  are finitely generated. The Euler character of

$C = (C_r, d)$  is given by  $\chi(C) = \sum_{i=-\infty}^{\alpha} (-1)^r [C_i] \in K_0(R)$ .

## 2. Computation of the group (SSP)

The calculation of  $G_0(RG)$ ,  $G$  Abelian is connected to the calculation of the group (SSF) which houses obstructions constructed by Shub and Franks in their study of Morse-Smale diffeomorphisms.

## 3. Dynamical Systems

Dynamical systems can be classified by means of  $K_0$  of  $C^*$ -algebras.



## 2.7.2 Some other Miscellaneous Applications

1. Several classical problems in topology were solved via  $K$ -theory e.g., finding the number of independent vector fields on the  $n$ -space.

## 2. Index of Elliptic Operators

Let  $M$  be a closed manifold and  $D : C^\infty(E) \rightarrow C^\infty(F)$  be an elliptic differential operator between two bundles  $E, F$  on  $M$ . Let  $\tilde{M} \rightarrow M$  be a normal covering of  $M$  with deck transformation group  $G$  (see [7]). Then, we can lift  $D$  to  $\tilde{M}$  and obtain an elliptic  $G$ -equivalent differential operators  $\bar{D} : C^\infty(\tilde{E}) \rightarrow C^\infty(\tilde{F})$  where  $\tilde{E}, \tilde{F}$  are bundles on  $\tilde{M}$ . Since the action is free, one can define an analytic index  $\text{ind}_G(\bar{D})$  in  $K_0(C_r^*(G))$  (see [7]).

### 3. THE FUNCTORS $K_1, K_2$ - BRIEF REVIEW

We shall follow the historical development of the subject by briefly discussing  $K_1, K_2$  of rings and their classical formulations.

#### 3.1 $K_1$ of a Ring – Definition and Basic Properties

**3.1.1** Let  $R$  be a ring with identity  $GL_n(R)$  the group of invertible

$n \times n$  matrices over  $R$ . Note that  $GL_n(R) \subset GL_{n+1}(R)$   $A \rightarrow \begin{pmatrix} A & 0 \\ 0 & 1 \end{pmatrix}$

Put  $GL(R) = \varinjlim GL_n(R) = \bigcup_{n=1}^{\infty} GL_n(R)$ .

Let  $E_n(R)$  be the subgroup of  $GL_n(R)$  generated by the elementary matrices,  $e_{ij}(a)$  where

$e_{ij}(a)$  is the  $n \times n$  matrix with 1's along the diagonal,  $a$  in the  $(i, j)$ -position with  $i \neq j$  and zeros elsewhere. Put

$E(R) = \varinjlim E_n(R)$ .

**3.1.2** Note that the matrices  $e_{ij}(a)$  satisfy the following.

- (i)  $e_{ij}(a) e_{ij}(b) = e_{ij}(a + b) \quad \forall \quad a, b \in R$
- (ii)  $[e_{ij}(a), e_{jk}(b)] = e_{ik}(ab) \quad \forall \quad i \neq k, \quad a, b \in R$
- (iii)  $[e_{ij}(a), e_{kl}(b)] = 1 \quad \forall \quad i \neq \ell, \quad j \neq k.$

### **3.1.3 Whitehead Lemma**

- (i)  $E(R) = [E(R), E(R)]$  i.e.,  $E(R)$  is perfect
- (ii)  $E(R) = [GL(R), GL(R)]$ .

### **3.1.4 Definition**

$$\begin{aligned}
 K_1(R) &:= GL(R) / E(R) = GL(R) / [GL(R), GL(R)] \\
 &= H_1(GL(R))
 \end{aligned}$$

**3.1.5** Note that:

- (i)  $K_1$  is functorial in  $R$  i.e.,  $R \rightarrow R'$  is a ring homomorphism, we have  $K_1(R) \rightarrow K_1(R')$
- (ii)  $K_1(R) \cong K_1(M_n(R))$  for any positive integer  $n$  and any ring  $R$
- (iii)  $K_1(R) \cong K_1(\mathbf{P}(R))$ .

**3.1.6** If  $R$  is a commutative ring with identity, the determinant map  $\det : GL_n(R) \rightarrow R^*$  commutes with  $GL_n(R) \rightarrow GL_{n+1}(R)$  and hence defined a map  $\det : GL(R) \rightarrow R^*$  which is surjective since given  $a \in R^*$  there exists  $A = \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix}$  such that  $\det(A) = a$ .

- We also have an induced map

$$\det : GL(R)/[GL(R), GL(R)] \rightarrow R^*$$

i.e.,  $\det K_1(R) \rightarrow R^*$  that is split by a map

$$\alpha : R'^* \rightarrow K_1(R) : a \rightarrow \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix}$$

i.e.,  $\det \alpha = 1_R$ . So  $K_1(R) \cong R^* \oplus SK_1(R)$  where  
 $SK_1(R) := \ker(\det : K_1(R) \rightarrow R^*)$ ;

- Note that  $SK_1(R) = SL(R)/E(R)$  where  
 $SL(R) = \varinjlim SL_n(R)$  and  $SL_n(R) = \{x \in GL_n(R) / \det x = 1\}$ .

### 3.1.6 Examples

- (i) If  $R$  is a field  $F$ ,  $SK_1(F) = 0$  and  $K_1(F) \cong F^*$
- (ii) If  $R$  is a division ring  $K_1(R) \cong R^* / [R^*, R^*]$ .

### 3.1.7 Stability for $K_1$

Stability results are useful for reducing computations of  $K_1(R)$  to computations of matrices of manageable size.

**Definition:** Let  $A$  be a ring with identity. An integer  $n$  is said to satisfy stable range condition  $(SR_n)$  for  $GL(A)$  if whenever  $r > n$ , and  $(a_1, a_2, \dots, a_r)$  generates the unit ideal  $\sum A a_i = A$ , then there exists  $b_1, b_2, \dots, b_{r-1} \in A$  such that

$$(a_1 + a_r b_1, a_2 + a_r b_2, \dots, a_{r-1} + a_r b_{r-1}) \text{ also}$$

generates the unit ideal i.e.,

$$\sum A(a_i + a_r b_i) = A$$

E.g., a semi-local ring (i.e., a ring with a finite number of maximal ideals satisfy  $SR_2$ ).

### 3.1.8 Theorem

If  $SR_n$  is satisfied, then

- (a)  $GL_m(A)/E_m(A) \rightarrow GL(A)/E(A)$  is onto for  $m \geq n$  and injective for all  $m > n$ .
- (b)  $E_m(A) \triangleleft GL_m(A)$  for  $m \geq n$
- (c)  $GL_m(A)/E_m(A)$  is Abelian for  $m > n$ .

## 3.2 $K_1, SK_1$ of Orders and Group-rings

**3.2.1** Let  $R$  be a Dedekind domain with quotient field  $F$ ,  $\Lambda$  an  $R$ -order in a semi-simple  $F$ -algebra.

Put  $SK_1(\Lambda) := \ker(K_1(\Lambda) \rightarrow K_1(\Sigma))$ .

Hence understanding  $K_1(\Lambda)$  reduces to understanding  $SK_1(\Lambda)$  and  $K_1(\Sigma)$ . Now  $\Sigma = \prod M_{n_i}(D_i)$ .  $D_i$  a division ring.

- So  $K_i(\Sigma) \cong \Pi K_1(D_i)$ .
- One way of understanding  $SK_1(\Lambda)$  is via reduced norm which generalizes the notion of determinant.

**3.2.2** Let  $R$  be the ring of integers in a number field or  $p$ -adik field  $F$ . then there exists an extension  $E$  of  $F$  since that  $E$  is a splitting field of  $\Sigma$  i.e.,  $E \otimes_F \Sigma$  is a direct sum of matrix algebras over  $E$  i.e.,

$$E \otimes_F \Sigma \cong \bigoplus M_{n_i}(E).$$

Let  $C$  be the centre of  $\Sigma$ .

If  $a \in \Sigma$ ,  $| \otimes a \in E \otimes_F \Sigma$  can be represented as a direct sum of matrices over  $E$  and so we have a map  $nr : GL(\Sigma) \rightarrow C^*$ .

If

$$\Sigma = \bigoplus \Sigma_i = \bigoplus_{i=1} M_{n_i}(E), \text{ and } C = \bigoplus_{i=1} C_i.$$



We could compute  $nr(a)$  component-wise  $v_{ia} GL(\Sigma_i) \rightarrow C_i$ . Since  $C^n$  is Abelian, we have

$$nr : K_1(\Sigma) \rightarrow C^*.$$

- $SK_1(\Lambda) = \{x \in K_1(\Lambda) \mid nr(x) = 1\}.$

Hence we have access to  $SK_1(RG)$  where  $G$  is any finite group.

### 3.2.3 Applications

#### 1. Whitehead Torsion

J.H.C. Whitehead observed that if  $X$  is a topological space, with fundamental group  $\pi_1(X) = G$ , then the elementary row and column transformation of matrices over  $\mathbf{Z}G$  have some topological meaning.

To enable him study homotopy between spaces, he introduce the group  $\text{Wh}(G) = K_1(\mathbf{Z}G)/w(\pm G)$  where  $w$  is the map  $G \rightarrow GL_1(\mathbf{Z}G) \rightarrow GL(\mathbf{Z}G) \rightarrow K_1(\mathbf{Z}G)$  such that if  $f: X \rightarrow Y$  is a homotopy equivalence, then there exists an invariant  $\tau(f) \in \text{Wh}(G)$  such that  $\tau(f) = 0$  iff  $f$  is induced by elementary deformations transforming  $X$  to  $Y$ . The invariant  $\tau(f)$  is called Whitehead torsion. (see [57])

- $K_1(\mathbf{Z}G) \cong (\pm 1) \times G^{ab} \times SK_1(\mathbf{Z}G)$  and so rank  $K_1(\mathbf{Z}G) = \text{rank Wh}(G)$  and  $SK_1(\mathbf{Z}G)$  is the full torsion subgroup of  $\text{Wh}(G)$ . So, computations of  $\text{Tor}(K_1(\mathbf{Z}G))$  reduces to computation of  $SK_1(\mathbf{Z}G)$ .

For information on computations of  $SK_1(\mathbf{Z}G)$  (see [8], [60]).

### 3.3 $K_2$ of Rings and Fields

**3.3.1** Let  $A$  be a ring with identity. The Stenberg group of order  $n$  ( $n \geq 1$ ) over  $A$ , denoted  $St_n(A)$  is the group generated by  $x_{ij}(a)$   $i \neq j$ ,  $1 \leq i, j \leq n$ ,  $a \in A$ , with relations

- (i)  $x_{ij}(a) x_{ij}(b) = x_{ij}(a + b)$
- (ii)  $[x_{ij}(a), x_{kl}(b)] = 1$ ,  $j \neq k$ ,  $i \neq l$
- (iii)  $[x_{ij}(a), x_{jk}(b)] = x_{ik}(ab)$ ,  $i, j, k$  distant
- (iv)  $[x_{ij}(a), x_{jk}(b)] = x_{ij}(-ba)$ ,  $j \neq k$ .

**Note:** Since the generator  $e_{ij}(a)$  of  $E_n(A)$  satisfies relations (i) to (iv) above, we have a unique surjective homomorphism  $\varphi_n : St_n(A) \rightarrow E_n(A)$  given by  $\varphi_i(x_{ij}(a)) = e_{ij}(a)$ .

Moreover the relations for  $St_{n+l}(A)$  include those of  $St_n(A)$  and so, there are maps  $St_n(A) \rightarrow St_{n+l}(A)$ . Then we have a conical map

$$St(A) \rightarrow E(A).$$

**3.3.2** Define  $K_2^M(A) := \ker St(A) \rightarrow E(A)$ .

**3.3.3 Theorem:**  $K_2^M(A)$  is an Abelian group and is the centre of  $St(A)$ . Hence  $St(A)$  is a central extension of  $E(A)$ .

i.e., we have a exact sequence

$$1 \rightarrow K_2^M(A) \rightarrow St(A) \rightarrow E(A) \rightarrow 1.$$

**3.3.4 Definition:** An exact sequence of groups of the form  $1 \rightarrow A \rightarrow E \xrightarrow{\varphi} G \rightarrow 1$  is called a central extension of  $G$  by  $A$  if  $A$  is central in  $E$ . Write the extension as  $(E, \varphi)$ . A central extension  $(E, \varphi)$  of  $G$  by  $A$  is said to be universal if for any other central extension  $(E', \varphi')$  of  $G$ , there is a unique morphism  $(E, \varphi) \rightarrow (E', \varphi')$ .

**3.3.5**  $St(A)$  is the universal central extension of  $E(A)$ . Hence there exists a natural isomorphism  $K_2^M(A) \cong H_2(E(A), \mathbf{Z})$ .

**Note:** The last statement follows from the fact that  $G$  (in this case,  $E(A)$ ), the kernel of the universal central extension  $(E, \varphi)$  (in this case  $(St(A), \varphi)$  is isomorphism to  $H_2(G, \mathbf{Z})$  (in this case  $H_2(E(A), \mathbf{Z})$ ).

### 3.3.6 Examples

- (i)  $K_2\mathbf{Z}$  is a cyclic group of order 2
- (ii)  $K_2(\mathbf{Z}(i)) = 1$ , so is  $K_2(\mathbf{Z}\sqrt{-7})$
- (iii)  $K_2(\mathbf{F}_q) = 1$  where  $\mathbf{F}_q$  is a finite field with  $q$  elements
  - (i) If  $F$  is a field,  $K_2(F[t]) \cong K_2(F)$  more generally  
 $K_2(R[t]) \cong K_2(R)$  if  $R$  is a regular ring.

**Note:**  $K_2^M(A) \cong K_2(\mathbf{P}(A)) = K_2(A)$ .

**3.3.7** Let  $A$  be a commutative ring with 1,  $a \in A^*$ . Put  
 $x_{ij}(u) x_{ji}(-u^{-1}) x_{ij}(u)$ .

Define  $h_{ij}(u) = w_{ij}(u) w_{ij}(-)$ .

For  $u, v \in A^*$ , one can easily check that  $\varphi([h_{12}(u), h_{13}(u)]) = 1$  and so,  
 $[h_{12}(u), h_{13}(v)] \in K_2(A)$ . One can also show that  $[h_{12}(u), h_{13}(v)]$  is  
independent of  $[h_{12}(u), h_{13}(v)]$  and call this the Stenberg symbol.

### 3.3.8 Theorem

Let  $A$  be a commutative ring with 1. The Stenberg symbol  
 $\{, \} : A^* \times A \rightarrow K_2(A)$  is skew symmetric and bilinear i.e.,  
 $\{u, v\} = \{u, v\}^{-1}; \{u, u_2, v\} = \{u_1, v\} \{u_2, v\}$ .

### 3.3.9 Theorem (Matsumoto)

Let  $F$  be a field. Then  $K_2^M(F)$  is generated by  $\{u, v\}, u, v \in F^*$  with relations

$$(i) \quad \{u u^1, v\} = \{u, v\} \{u^1, v\}$$

$$(ii) \quad \{u, v v^1\} = \{u, v\} \{u, v^1\}$$

$$(iii) \quad \{u, 1-u\} = 1$$

i.e.,  $K_2^M(F)$  is the quotient of  $F^* \otimes_{\mathbf{Z}} F^*$  by the subgroup generated by the elements  $x \otimes (1-x), x \in F^*$ .

## 3.4 Connections of $K_2$ with Brauer Groups of Fields and Galois Cohomology

**3.4.1** Let  $F$  be a field and  $Br(F)$  the Brauer group of  $F$  i.e., the group of stable isomorphism classes of central simple  $F$ -algebras with multiplication given by tensor product of algebras (see [7]).

A central simple  $F$ -algebra is said to be split by an extension  $E$  of  $F$  if  $E \otimes A$  is  $E$ -isomorphic to  $M_r(E)$  for some positive integer  $r$ .

It is well known that such  $E$  can be taken as some finite Galois extension of  $F$ .

Let  $Br(F, E)$  be the group of stable isomorphism classes of E-split central simple F-algebras. Then  $Br(F) := Br(F, F_s)$  where  $F_s$  is the separable closure of  $F$ .

**3.4.3** For any  $m > 0$ , let  $\mu_m$  be a group of  $m^{\text{th}}$  roots of 1,  $G = \text{Gal}(F_s)(F)$ . Then we have a Kummer sequence of G-modules  $0 \rightarrow \mu_m \rightarrow F_s^* \rightarrow 0$  from which we obtain an exact sequence of Galois cohomology groups

$$F^* \rightarrow F^* \rightarrow H^1(F, \mu_m) \rightarrow H^1(F, F_s^*) \rightarrow 0$$

where  $H^1(F, F_s^*) = 0$  by Hilbert theorem 90 so, we obtain homomorphism

$$\chi_m : F^* / mF^* \cong F^* \otimes \mathbf{Z} / m \rightarrow H^1(F, \mu_m).$$

Now, the composite

$$F^* \otimes_{\mathbf{Z}} F^* \rightarrow (F^* \otimes_{\mathbf{Z}} F^*) \otimes \mathbf{Z} / m \rightarrow H^1(F, \mu_m) \otimes H^1(F, \mu_m) \rightarrow H^2(F, ,$$



is given by  $a \otimes b \rightarrow \chi_m(a) \cup \chi_m(b)$  (where  $\cup$  is a cup product) which can be shown to be a Steinberg symbol inducing a homomorphism

$$g_{2,m} : K_2(F) \otimes \mathbf{Z} / m \mathbf{Z} \rightarrow H^2\left(F, \mu_m^{\otimes 2}\right) \quad (\text{I})$$

we then have the following result

**Theorem 3.4.4:** Let  $F$  be a field,  $m$  an integer  $> 0$  such that the characteristic of  $F$  is prime to  $m$ . Then the map

$$g_{2,m} : K_2(F) / m K_2(F) \rightarrow H^2\left(F, \mu_m^{\otimes 2}\right)$$

is an isomorphism where  $H^2\left(F, \mu_m^{\otimes 2}\right)$  can be identified with the  $m$ -torsion subgroup of  $Br(F)$ .

**Remark 3.4.5:** J. Milnov defined ‘higher Milnov K-groups’  $K_n^M(F)$  ( $n \geq 1$ ) fields as follows:

**Definition**

$$K_n^M(F) := F^* \otimes F^* \otimes \cdots \otimes F^* \bigg/ \left\{ a_1 \otimes \cdots \otimes a_n \mid a_i + a_j = 1 \text{ for some } i \neq j, a_i \in F \right\}$$

$n \text{ times}$

i.e.,  $K_n^M(F)$  is the quotient of  $F^* \otimes F^* \cdots F^*$  ( $n$  times) by the

subgroup generated by all  $a_1 \otimes a_2 \otimes \cdots \otimes a_n, a_i \in F$  such that

$$a_i + a_j = 1.$$

**Note:**  $\bigoplus_{n \geq 0} K_n^M(F)$  is a ring.

**Remarks 3.4.6:** By generalizing the process outlined in 3.4.3, we obtain a map,

$$g_{n,m} : K_n^m(F) \bigg/ {}_m K_n^m(F) \rightarrow H^n\left(F, \mu_m^{\otimes n}\right),$$

- It is a conjecture of Bloch-Kato that  $g_{n,m}$  is an isomorphism for all  $F, m, n$ .
- Theorem 3.4.4 above due to A. Merkurjev and A. Suslin, is the  $g_{z,m}$  case of Bloch-Kato conjecture when  $m$  is prime to the characteristic of  $F$ .
- A Merkurjev proved that theorem 3.4.4 holds without any restriction of  $F$  with respect to  $m$ .
- It is also a conjecture of Milnor that  $g_{n,z}$  is an isomorphism. In 1996, V. Voevodsky proved that  $g_{n,2^r}$  is an isomorphism for any  $r$ , leading to his being awarded a Fields medal.
- It is now believed that M. Rost and V. Voevodsky have now proved the Bloch-Kato conjecture.

## 3.5 Applications

### 1. $K_2$ and Pseudo-isotopy

Let  $R = \mathbf{Z}G$ ,  $G$  a group. For  $u \in R^*$  put  $w_{ij}(u) := x_{ij}(u)x_{ji}(-u^{-1})x_{ij}(u)$ . Let  $W_{ij}$  be the subgroup of  $St(R)$  generated by all  $w_{ij}(g)$ ,  $g \in G$ .

Now, let  $M$  be a smooth  $n$ -dimensional compact connected manifold without boundary. Two diffeomorphisms  $h_0, h_1$  of  $M$  are said to be isotopic if they lie in the same path component of the diffeomorphism group. Say that  $h_0, h_1$  are pseudo-isotopic if there is a diffeomorphism of the cylinder  $M \times [0,1]$  restricted to  $h_0$  on  $M \times (0)$  and to  $h_1$  on  $M \times \{1\}$ . Let  $P(M)$  be the pseudo-isotopy space of  $M$ , i.e., the group of diffeomorphism  $L$  of  $M \times [0,1]$  restricting to the identity on  $M \times (0)$ . Computation of  $\pi_0(P(M^2))$  helps to understand the differences between isotopes to and we have the following result due to A. Hatcher and J. Wagover.

**Theorem:** Let  $M$  be an  $n$ -dimensional ( $n \geq 3$ ) smooth compact manifold with boundary. Then there exists a subjective map

$$\pi_0(P(M) \rightarrow Wh_2(\pi_1(M)))$$

where  $\pi_1(M)$  is the fundamental group of  $M$ .

## 4. HIGHER ALGEBRAIC $K$ -THEORY

### 4.1 The Plus Construction for $K_n(A)$

**4.1.1** The plus construction of  $K_n$  of a ring  $A$  with identity makes use of the following theorem of Quillen.

**Theorem 4.1.2:** Let  $X$  be a connected CW-complex  $N$  a perfect normal subgroup of  $\pi_1(X)$ . Then there exists a CW-complex  $X^+$  (depending on  $N$ ) and a map  $X \rightarrow X^+$  such that

- (i)  $i : \pi_1(X) \rightarrow \pi_1(X^+)$  is the quotient  
map  $\pi_1(X) \rightarrow \pi_1(X)/N = \pi_1(X^+)$

- (i) For any  $\pi_1(X)/N$ -module  $L$ , there is an isomorphism  $i_a : H_a(X, i^* L) \rightarrow H_i(X^+, L)$  where  $i^* L$  is  $L$  considered as a  $\pi_1(X)$ -module.
- (ii) The space  $X^+$  is universal in the sense that if  $Y$  is a CW-complex and  $f : X \rightarrow Y$  is a map such that  $f_* : \pi_1(X) \rightarrow \pi_1(Y)$  such that  $f_*(N) = 0$  then there exists a unique map  $f^+ : X^+ \rightarrow Y$  such that  $f^+ i = f$ .

### Definition 4.1.3

Let  $A$  be a ring,  $X = BGL(A)$  the classifying space of the group  $GL(A)$ , (a CW-complex characterized by the property that  $\pi_1 BGL(A) = GL(A)$  and  $\pi_i BGL(A) = 0$  for  $i \neq 1$ ). Then  $\pi_1 BGL(A) = GL(A)$  contains  $E(A)$  as a perfect normal subgroup. Hence, by theorem 4.1.2, there exists a  $BGL(A)^+$ . Define  $K_n(A) = \pi_n(BL(A)^+)$ .

### Example/Remarks 4.1.4

(i) For  $n = 1, 2$ ,  $K_n(A)$  as defined above can be identified with the classical definition.

(ii)  $\pi_1 BGL(A)^H = GL(A)/E(A) = K_1(A)$ .

(iii)  $BE(A)^+$  is the universal covering space of  $BGL(A)^+$  and so, we have

$$\begin{aligned}\pi_2 BGL(A)^+ &\cong \pi_2(BE(A)^+) \cong H_2(BE(A)^+) \cong H_2(BE(A)) \\ &\cong H_2(E(A)) \cong K_2(A).\end{aligned}$$

(iv)  $K_3(A) \cong H_3(St(A))$  (see [42])

(v) If  $A$  is a finite ring,  $K_n(A)$  is finite see [31] or [42]

(vi) For a finite field  $\mathbf{F}_q$  with  $q$  elements

$$K_{2n}(\mathbf{F}_q) = 0 \text{ and } K_{2n-1}(\mathbf{F}_q) = \mathbf{Z}/(q_{n-1}).$$

## 4.2 Classifying Spaces and Simplicial Objects

### 4.2.1 Definition

Let  $\Delta$  be a category defined as follows:  $ob(\Delta) := \{\underline{n} = \{0 < 1 < \dots < n\}\}$   
 $Hom_{\Delta}(\underline{m}, \underline{n}) = \{\text{monotone maps } f, \underline{m} \rightarrow \underline{n} \text{ i.e., } f(i) \leq f(j) \text{ for } i < j\}.$

**4.2.2** For any category  $A$ , a simplicial object in  $A$  is a contravariant functor.

$X : \Delta \rightarrow A$ . Write  $X_n$  for  $X(\underline{n})$

A cosimplicial object in  $A$  is a covariant functor  $X : \Delta \rightarrow A$ .

- Equivalently, one could define a simplicial object in a category  $A$  as a set of objects  $X_n (n \geq 0)$  in  $A$  and a set of morphisms  $\delta_i : X_n \rightarrow X_{n-1} (0 \leq i \leq n)$  called face maps as well as a set morphisms  $s_i : X_n \rightarrow X_{n+1} (0 \leq i \leq n)$  called degeneracies satisfying certain simplicial identities (see [93]).



- The geometric n-simplex is the topological space  $\hat{\Delta}^n = \{(x_0, x_1, \dots, x_n) \in R^{n+1} \mid 0 \leq x_i \leq 1 \ \forall i \text{ and } \sum x_i = 1\}$

A functor  $\hat{\Delta}: \Lambda \rightarrow \text{spaces} : \underline{n} \rightarrow \hat{\Delta}^n$  is a co-simplicial space..

**4.2.4 Definition:** Let  $X_n$  be a simplicial scl. The geometric realization of  $X_n$ , written  $|X_n|$  is defined by

$$|X_n| = X \times_{\Delta} \Delta = \left( X_n \times \hat{\Delta}_n \right) / \cong_{n \geq 0}$$

where the equivalence relations  $\cong$  is generated by  $(x, \varphi_n(y)) \cong (\varphi^n(x), y)$  for any  $x \in X_n$   $y \in Y_n$  and  $\varphi: \underline{m} \rightarrow \underline{n} \in \Delta$  and where  $X_n \times \Delta^n$  is given the product topology and  $x_n$  is considered as a discrete space.

### 4.2.5 Definition

Now let  $\mathbf{A}$  be a small category. The Nerve of  $\mathbf{A}$ , written  $N\mathbf{A}$ , is the simplicial set whose n-simplices are diagrams

$$A_n = \{A_0 \xrightarrow{f_1} A_1 \longrightarrow \cdots \xrightarrow{f_n} A_n\}$$

where the  $A_i$ 's are  $\mathbf{A}$ -objects and the  $f_i$  are  $\mathbf{A}$ -morphisms. The classifying space of  $\mathbf{A}$  is defined as  $|NA|$  and denoted by  $BA$ .

**Remarks:**  $BA$  is a CW-complex whose  $n$ -cells are in one-one correspondence with the diagrams  $A_n$  above.

#### 4.2.6 Definition

Now let  $\mathbf{C}$  be an exact category. We form a new category  $QC$  such that  $ob(QC) = ob \mathbf{C}$  and morphisms from  $M$  to  $P$ , say is an isomorphism class of diagrams  $M \xleftarrow{j} N \xrightarrow{i} P$  where  $i$  an admissible monomorphism (or inflation) and  $j$  is an admissible *epi* morphism or deflation) in  $\mathbf{C}$  i.e.,  $i$  and  $j$  are part of some exact sequences

$$0 \longrightarrow N \xrightarrow{i} P \longrightarrow P' \rightarrow 0 \quad \text{and}$$

$$0 \longrightarrow N'' \xrightarrow{i} N \xrightarrow{j} M \rightarrow 0, \text{ respectively.}$$

Composition is also well defined (see [62]).

**Definition 4.2.7:** For  $n \geq 0$ , define

$$K_n(C) := \pi_{n+1}(BQC, 0) \quad n \geq 0.$$

**Examples:** Recall earlier examples.

$$(A) \quad (1) \quad C = P(A), \quad K_n(C) := K_n(A) \quad n \geq 0$$

$$C = M(A), \quad K_n(C) = G_n(A) \quad n \geq 0$$

$$\text{Note that } K_n(P(A)) \cong \pi_n(BGL(A^+)) \quad \text{for } n \geq 1$$

We shall be interested in various rings  $A$ .

$$(i) \quad A = \mathbf{Z}, \mathbf{Q}, \mathbf{R}, \mathbf{C}$$

$$(ii) \quad A = \text{Integral domain } R$$

$$(iii) \quad A = F \text{ (field possibly quotient field of } R)$$

$$(iv) \quad A = D \text{ a division ring}$$

$$(v) \quad A = \mathbf{Z}G, RG, \mathbf{Q}G, \mathbf{R}G, \mathbf{C}G \text{ (a finite group)}$$

$$(vi) \quad R = \text{integers in a number field or } p\text{-adic field, } A = RG, \text{ } G \text{ finite group or more generally } A = r\text{-order } \Lambda \text{ in a semi-simple F-algebra } \Sigma$$

(vii)  $A = \Lambda_\alpha(T)$  where  $\Lambda$  is as in (vi) When  $A = RG$ ,  $A = \Lambda_\alpha(T) = RV$  where  $V = G \times_\alpha T$  is virtually cyclic group.

### 4.3 Some Sample Finiteness Results for $K(\mathbf{C})$ -

( $\mathbf{C} = \mathbf{P}(\mathbf{A}), \mathbf{M}(\mathbf{A})$ )

#### 4.3.1 Theorem

Let  $R$  be the ring of integers in a number field  $F$ ,  $\Lambda$  any  $R$ -order in a semi-simple  $F$ -algebra  $\Sigma$ . Then,

- (i) For all  $n \geq 1$ ,  $K_n(\Lambda)$ ,  $G_n(\Lambda)$  are finitely generated Abelian group  
(Kuku, J. algebra 1984, AMS contemp. Math, 1986).
- (ii) For all  $n \geq 1$ ,  $K_{2n}(\Lambda)$ ,  $G_{2n}(\Lambda)$  are finite Abelian groups, Kuku (K-theory 2005).
- (iii) If  $F$  is totally real, then  $G_{2m+2}(\Lambda)$  is also finite for all odd  $m \geq 1$   
(Algebras and Rep. Theory - to appear)

- (i) For all  $n \geq 1$ ,  $G_{2n}(\Lambda_\alpha(T))$  is a finitely generated Abelian group where  $\Lambda_\alpha(T)$  is the twisted Laurent series ring over  $\Lambda$ . **(Kuku (2007): Algebras and Rep theory - to appear)**
- (ii) There exists an isomorphism  $Q \otimes K_n(\Lambda_\alpha(T)) \approx Q \otimes G_n(\Lambda_\alpha(T)) \cong Q \otimes K_n(\Sigma_\alpha(T)) \forall n \geq 1$  **(Kuku (2007): Algebras and Rep. theory - to appear)**
- (iii) If  $A$  is a finite ring, then  $K_n(A)$ ,  $G_n(A)$  are finite for all  $n \geq 1$  **(Kuku AMS Cont. Mp. Math 1986).**

**Note:** Above results (i), (ii), (iii) apply to  $\Lambda = RG$  ( $G$  a finite group) while (iv) and (v) apply to  $\Lambda_\alpha(T) = (RG)_\alpha(T) = RV$  where  $V = G \times_\alpha T$  is a virtually infinite cyclic group. (i) generalizes classical results known for  $n = 0, 1$  to higher dimensions.

### 4.3.2 $K_n$ , $SK_n$ of Orders and Group rings

Let  $R$  be a Dedekind domain (i.e., an integral domain in which every ideal is projective or equivalently  $R$  is Noetherian integrally closed and every prime ideal is maximal or equivalently every non-zero ideal  $\underline{a}$  in  $R$  is invertible i.e.,  $\underline{a}\underline{a}^{-1} = R$  where

$\underline{a}^{-1} = \{x \in F \mid x\underline{a} \subset R\}$ . Let  $\Lambda$  be any  $R$ -order in a semi-simple  $F$ -algebra  $\Sigma$ . For  $n \geq 0$ , let  $SK_n(\Lambda) := \ker(K_n(\Lambda)) \rightarrow K_n(\Sigma)$  and  $SG_n(\Lambda) = \ker(G_n(\Lambda)) \rightarrow G_n(\Sigma) \cong K_n(\Sigma)$ .

Note that for any regular ring  $R$  (e.g.,  $\Sigma$ ),  $K_n(R) \cong G_n(R)$ .

As observed earlier, when  $\Lambda = RG$  ( $R$  integers in a number field,  $G$  a finite group),  $SK_0(RG)$   $SK_1(RG)$  contain topological invariants – respectively, e.g., Swan invariants and Whitehead torsion). We have the following:

**4.3.3 Theorem: (see Kuku Math. Zeit (1979) or Ku-Bk (2007)).**

Let  $p$  be a rational prime.  $F$  a  $p$ -adici field with ring of integers  $R$ ,  $\Gamma$  a maximal  $R$ -order in a semi-simple  $F$ -algebra  $\Sigma$ , Then for all  $n \geq 1$ .

$$(a) \quad SK_{2n}(\Gamma) = 0$$

(b)  $SK_{2n-1}(\Gamma) = 0$  iff  $\Sigma$  is unified over its centre i.e., iff  $\Sigma$  is a direct product of matrix algebras over fields.

**Note:** Above result applies to  $\Gamma = RG$  where  $(|G|, p) = 1$ .

**4.3.4 Theorem: See Ku-Bk (2007) or Kuku (1984) J-algebra; Kuku (1986)**

**AMS Cont. Math; Kuku (2006) K-theory**

Let  $R$  be the ring of integers in a number field  $F$ ,  $\Lambda$  any  $R$ -order in a semi-simple  $F$ -algebra  $\Sigma$ . Then

(a)  $SK_n(\Lambda), SG_n(\Lambda)$  are finite groups and  $SG_{2n}(\Lambda) = 0$  for all  $n \geq 1$

- (b)  $SK_n(\hat{\Lambda}_p), SG_n(\hat{\Lambda}_p)$  are finite groups and
- (c) If  $\Lambda = \mathbf{Z}G$  where  $G$  is a finite  $p$ -group, then  $SK_{2n-1}(\mathbf{Z}G)$ ,  
and  $SK_{2n-1}(\hat{\mathbf{Z}}_p G)$  are finite  $p$ -groups.

## 4.4 Higher Dimensional Class Groups of Orders and Group rings

Let  $R$  be the ring of integers in a number field  $F$ ,  $\Lambda$  any  $R$ -order in a semi-simple  $F$ -algebra  $\Sigma$ . The higher class groups  $Cl_n(\Lambda)$  of  $\Lambda$  are defined for all  $n \geq 0$  by  $Cl_n(\Lambda) := \ker(SK_n(\Lambda) \rightarrow \oplus SK_n(\hat{\Lambda}_1))$ .

Note that  $Cl_n(\Lambda)$  coincides with the usual class group  $Cl(\Lambda)$  of  $\Lambda$  which in turn generalizes the notion of class groups of integers in a number field. (see Ku-Bk (2007). For results on class groups of  $\Lambda$  (see Curtis/Reiner (1987) [8]).

Note also that computations of  $Cl_1(\Lambda)$  which we already observed reduces to computation of Whitehead torsion (see Oliver (1988) [60]).



We now state known results for  $Cl_n(\Lambda)$   $n \geq 1$ .

#### 4.4.2 Theorem

Let  $R$  be the ring of integers in a number field  $F$ ,  $\Lambda$  any  $R$ -order in a semi-simple  $F$ -algebra  $\Sigma$ . Then

- (i) For all  $n \geq 1$ ,  $Cl_n(\Lambda)$  is a finite group (see **Ku-Bk (2007) or Kuku (1986) AMS Cont. Math.**)
- (ii) For all  $n \geq 1$ ,  $p$ -torsion in  $Cl_{2n-1}(\Lambda)$  can occur only for primes  $p$  lying above prime ideals  $\underline{p}$  at which  $\hat{\Lambda}_{\underline{p}}$  is not maximal. Hence for any finite group  $G$ , for all  $n \geq 1$ , the only  $p$ -torsion possible in  $Cl_{2n-1}(RG)$  is for those primes  $p$  dividing the order of  $G$ . (see **Kolster/Laubenbacher (1988) Math. Zeit.**)
- (iii) Let  $F$  be a number field with ring of integers  $R$ ,  $\Lambda$  a hereditary  $R$ -order in a semi-simple  $F$ -algebra or and Eichler order in a quaternion algebra. Then the only  $p$ -torsion possible is for those primes  $p$  lying below the prime ideals  $\underline{p}$  at which  $\Lambda_{\underline{p}}$  is not maximal. (see **Ku-Bk (2007) or Guo/Kuku (2005) Comm. in Alg.**)

- (i) Let  $S_n$  be a symmetric group of degree  $n$ . Then  $Cl_{2n-1}(\mathbf{Z} S_2)$  is a finite  $\mathbf{z}$ -torsion group (see **Kolster /Laubenbacher (1998) Math. Zeit.**).

## 4.5 Higher $K$ -theory of Schemes

**4.5.1 Recall:** If  $X$  is a scheme, we write  $K_n(X)$  for  $K_n(\mathbf{P}(X))$  and when  $X$  is a Noetherian scheme, we write  $G_n(X)$  for  $K_n(\mathbf{M}(X))$ .

If  $G$  is an algebraic group over a field  $F$ , and  $X$  is a  $G$ -scheme, we write  $K_n(G, X)$  for  $K_n(\mathbf{P}(G, X))$  and  $G_n(G, X)$  for  $K_n(\mathbf{M}(G, X))$ .

**Note:**

- (a) If  $G$  is trivial group  $G_n(G, X) = G_n(X)$  and  $K_n(G, X) = K_n(X)$ .

- (a)  $G_n(G, -)$  is contravariant with respect to  $G$ -maps.
- (b)  $G_n(G, -)$  is covariant with respect to projective  $G$ -maps.
- (c)  $K_n(G, -)$  is contravariant with respect to any  $G$ -map.
- (d)  $G_n(-, X)$  is contravariant w.r.t. any group homomorphism.
- (e)  $K_n(-, X)$  is covariant w.r.t group homomorphisms. (see Thomason (1987)  $K$ -theory Proc. Princeton.

**4.5.2 Recall:** Let  $B$  be a finite dimensional separate  $F$ -algebra.  $X$  a smooth projective variety equipped with the action of an affine algebraic group  $G$  over  $F$ ,  $\gamma X$  the twisted form of  $X$  with respect to a cocycle  $\gamma: \text{Gal } F_{\text{sep}} / F \rightarrow G(F_{\text{sep}})$ . Let  $VB_G(r, B)$  be the category of vector bundle on  $\gamma X$  equipped with left  $B$ -module structure. We write  $K_n(\gamma X, B)$  for  $K_n(VB_G(\gamma X, B))$ . **(See Panin (1994) K-theory; Merurjer (preprint)).**

We now have the following results.

### 4.5.3 Theorem: Kuku (2007) MPIM – Bonn, preprint

Let  $\tilde{G}$  be a semi-simple simply, connected and connected  $F$ -split algebraic group over a field  $F$ ,  $\tilde{P}$  a parabolic subgroup of  $G$ ,  $F = \tilde{G}/\tilde{P}$  the flag variety and  $\gamma F$  the twisted form of  $\mathbf{F}$ ,  $B$  a finite-dimensional separable  $F$ -algebra.

- (a) Let  $F$  be a number field, then for all  $n \geq 1$ 
  - (i)  $K_{2n+1}(\gamma F, B)$  is a finitely generated Abelian group;
  - (ii)  $K_{2n}(\gamma F, B)$  is a torsion group and has no non-trivial divisible subgroups.
- (b) Let  $F$  be a  $p$ -adic field,  $\ell$  a rational prime such that  $\ell \neq p$ . Then for all  $n \geq 1$  and any separable  $F$ -algebra  $B$ ,  $K_n(\gamma F, B)_{\ell}$  is a finite group.

#### 4.5.4 Theorem: (Kuku (2007) MPIM-Bonn (preprint))

Let  $V$  be a Brauer-Severi variety over a field  $F$ .

- (a) If  $F$  is a number field, then  $K_{2n+1}(V)$  is a finitely generated Abelian group for all  $n \geq 1$ .
- (b) If  $F$  is a  $p$ -adic field, then for all  $n \geq 1$ ,  $K_n(V)_\ell$  is a finite group if  $\ell$  is a prime  $\neq p$ .

### 4.6 Mod- $m$ Higher K-theory of exact Categories, Schemes and Orders

4.6.1 Let  $X$  be an  $H$ -space,  $m$  a positive integer

$M_m^n$  an  $n$ -dimensional mod- $m$  Moore space is the space obtained from  $S^{n-1}$  by attaching an  $n$ -cell via a map of degree  $m$ , (See Kulkarni (2007) or Niesendorfer 1980/ AMS Memoir).

- ). Write

$$\pi_n(X, \mathbf{Z} / m) \text{ for } [M_m^n, X] \quad n \geq 2$$

$$\pi_1(X, \mathbf{Z} / m) \text{ for } \pi_1(X) \otimes \mathbf{Z} / m.$$

The cofibration sequence

$$S^{n-1} \xrightarrow{m} S^{n-1} \xrightarrow{\beta} M_m^n \xrightarrow{\alpha} S^n \xrightarrow{m} S^n$$

yields an exact sequence

$$\pi_n(X) \xrightarrow{m} \pi_n(X) \xrightarrow{\beta} \pi_n(X, \mathbf{Z} / m) \xrightarrow{\alpha} \pi_{n-1}(X) \xrightarrow{m} \pi_{n-1}(X)$$

and hence the following exact sequence

$$0 \rightarrow \pi_\ell(X) / m \rightarrow \pi_n(X, \mathbf{Z} / m) \rightarrow \pi_{n-1}(X)[m] \rightarrow 0$$

where

$$\pi_{n-1}(X)[m] = \{x \in \pi_{n-1}(X) \mid mx = 0\}.$$

### Example 4.6.2

- (i) If  $\mathbf{C}$  is an exact category, write  $K_n(\mathbf{C}, \mathbf{Z} / m)$  for  $\pi_{n+1}(BQC, \mathbf{Z} / m)$ ;  $n \geq 1$  and write  $K_0(\mathbf{C}, \mathbf{Z} / m)$  for  $K_0(\mathbf{C}) \otimes \mathbf{Z} / m$ .
- (ii) If  $\mathbf{C} = \mathbf{P}(A)$ , a ring with 1, write  $K_n(A, \mathbf{Z} / m)$  for  $K_n(\mathbf{P}(A), \mathbf{Z} / m)$ ;

- (iii) If  $X$  is a scheme, and  $C = P(X)$ , write  $K_n(X, \mathbf{Z}/m)$  for  $K_n(P(X), \mathbf{Z}/m)$ . Note that if  $X = \text{Spec}(A)$ ,  $A$  commutative, we recover  $K_n(A, \mathbf{Z}/m)$ .
- (iv) Let  $A$  be a Noetherian ring. If  $C = M(A)$ , we write  $G_n(A, \mathbf{Z}/m)$  for  $K_n(M(A), \mathbf{Z}/m)$ .
- (v) Let  $X$  be Noetherian scheme,  $C = M(X)$ . We write  $G_n(X, \mathbf{Z}/m)$  for  $K_n(M(X), \mathbf{Z}/m)$ . If  $X = \text{Spec}(A)$ , we recover  $G_n(A, \mathbf{Z}/m)$ .
- (vi) Let  $G$  be an Abelian group over a field  $F$ ,  $X$  a  $G$ -scheme,  $C = M(G, X)$ .  $G_n((G, X), \mathbf{Z}/m)$  for  $K_n(M(G, X), \mathbf{Z}/m)$ .
- (vii) Let  $G$  be an algebraic group over a field  $F$ ,  $X$  a  $G$ -scheme;  $C = P(G, X)$ . We write  $K_n((G, X), \mathbf{Z}/m)$  for  $K_n(P(G, X), \mathbf{Z}/m)$ .
- (viii) Let  $G$  be an algebraic group over a field  $F$ ,  $X$  a  $G$ -scheme,  $B$  a finite dimensional separable  $F$ -algebra,  ${}_r X$  the twisted form of  $X$  via a 1-cocycle  $r$ ,  $C = VB_G({}_r X, B)$ . We write  $K_n(({}_r X, B), \mathbf{Z}/m)$  for  $K_n({}_r X, B), \mathbf{Z}/m)$ .

### 4.6.2 Theorem: Kuku (2007) MPIM-Bonn Preprint

Let  $\mathcal{C}, \mathcal{C}'$  be exact categories and  $f : \mathcal{C} \rightarrow \mathcal{C}'$  an exact factor which induces Abelian group homomorphism  $f_0 : K_n(\mathcal{C}) \rightarrow K_n(\mathcal{C}')$  for each  $n \geq 0$ . Let  $\ell$  be a rational prime

- (a) Suppose that  $f_1$  is injective (resp. surjective, resp. bijective), then so is  $\bar{f}_1 : K_n(\mathcal{C}, \mathbf{Z}/m) \rightarrow K_n(\mathcal{C}', \mathbf{Z}/m)$ ;
- (b) If  $f_\alpha$  is split surjective (resp. split injective), then so is  $\bar{f} : K_n(\mathcal{C}, \mathbf{Z}/m) \rightarrow K_n(\mathcal{C}', \mathbf{Z}/m)$ .

## 4.7 Profinite Higher K-theory of Exact Categories, Schemes and Orders

**4.7.1** Let  $\mathcal{C}$  be an exact category,  $\ell$  a rational prime,  $s$  a positive integer, put  $M_{\ell^\infty}^{n+1} = \varinjlim M_{\ell^s}^{n+1}$ . We define the profinite  $K$ -theory of  $\mathcal{C}$  by  $K_n^{pr}(\mathcal{C}, \hat{\mathbf{Z}}_\ell) = [M_{\ell^\infty}^{n+1}, BQC]$ . We also write  $K_n(\mathcal{C}, \hat{\mathbf{Z}}_\ell)$  for  $\varinjlim K_n(\mathcal{C}, \mathbf{Z}/\ell^s)$ .

**Note:** For all  $n \geq 2$ , we have an exact sequence

$$0 \rightarrow \varprojlim^1 K_{2n+1}(\mathcal{C}, \mathbf{Z}/\ell^s) \rightarrow K_n^{pr}(\mathcal{C}, \hat{\mathbf{Z}}_\ell) \rightarrow K_n(\mathcal{C}, \hat{\mathbf{Z}}_\ell) \rightarrow 0.$$



For more information on this construction, see Ku-Bk (2007), chapter 8 or [42].

### Example 4.7.2

(i) Let  $C = P(A)$ ,  $A$  a ring with 1. We write

$$K_n^{pr}(A, \hat{\mathbf{Z}}_\ell) \text{ for } K_n(P(A), \hat{\mathbf{Z}}_\ell) \text{ and } K_n(P(A), \hat{\mathbf{Z}}_\ell) \text{ for } K_n(P(A), \hat{\mathbf{Z}}_\ell).$$

(ii) If  $X$  is a scheme and  $C = P(X)$ , we write

$$K_n^{pr}(X, \hat{\mathbf{Z}}_\ell) \text{ for } K_n^{pr}(P(X), \hat{\mathbf{Z}}_\ell) \text{ and } K_n((X), \hat{\mathbf{Z}}_\ell) \text{ for } K_n(P(X), \hat{\mathbf{Z}}_\ell).$$

(iii) Let  $C = M(A)$ , write

$$G_n^{pr}(A, \hat{\mathbf{Z}}_\ell) \text{ for } G_n^{pr}(M(A), \hat{\mathbf{Z}}_\ell) \text{ and } G_n((A), \hat{\mathbf{Z}}_\ell) \text{ for } K_n(M(A), \hat{\mathbf{Z}}_\ell).$$

(iv) If  $C = M(X)$ ,  $X$  a scheme, write

$$G_n^{pr}(X, \hat{\mathbf{Z}}_\ell) \text{ for } K_n^{pr}(M(X), \hat{\mathbf{Z}}_\ell) \text{ and } G_n(X, \hat{\mathbf{Z}}_\ell) \text{ for } K_n(M(X), \hat{\mathbf{Z}}_\ell). \text{ If } X = \text{Spec}(A) \text{ recover } G_n^{pr}(A, \hat{\mathbf{Z}}_\ell) \text{ and } G_n(A, \hat{\mathbf{Z}}_\ell).$$

(v) Let  $G$  be an algebraic group over a field  $F$ ,  $X$  a  $G$ -scheme,  $\mathbf{C} = \mathbf{M}(G, X)$ . We write  $G_n^{pr}((G, X), \hat{\mathbf{Z}}_\ell)$  for  $G_n^{pr}(\mathbf{M}(G, X), \hat{\mathbf{Z}}_\ell)$ .

(vi) Let  $G$  be an algebraic group over a field  $F$ ,  $X$  a  $G$ -scheme,  $\mathbf{C} = \mathbf{P}(G, X)$ , we write  $K_n^{pr}((G, X), \hat{\mathbf{Z}}_\ell)$  for  $K_n^{pr}(\mathbf{P}(G, X), \hat{\mathbf{Z}}_\ell)$ .

(vii) Let  $G$  be an algebraic group over a field  $F$ ,  $X$  a  $G$ -scheme,  $\gamma X$  the twisted form of  $X$  and  $B$  a finite-dimensional separable algebraic over  $F$ . If  $\mathbf{C} = VB_G(({}_r X, B), \hat{\mathbf{Z}}_\ell)$ , we write  $K_n^{pr}(({}_r X, B), \hat{\mathbf{Z}}_\ell)$  for  $K_n^{pr}(VB_G, ({}_r X, B), \hat{\mathbf{Z}}_\ell)$

### **Theorem 4.7.3: Kuku (2007) MPIM –Bonn preprint**

Let  $\mathbf{C}, \mathbf{C}'$  be exact categories and  $f : \mathbf{C} \rightarrow \mathbf{C}'$  an exact factor which induces an Abelian group homomorphism  $f_n, K_n(\mathbf{C}) \rightarrow K_n(\mathbf{C}')$  for  $n \geq 0$ . Let  $\ell$  be a rational prime,  $s$  a positive integer. Suppose that  $f_\alpha$  is injective (resp. surjective; resp. bijective), then so is

$$f_\alpha : K_n^{pr}(\mathbf{C}, \hat{\mathbf{Z}}_\ell) \rightarrow K_n^{pr}(\mathbf{C}', \hat{\mathbf{Z}}_\ell).$$

#### **Theorem 4.7.4: Kuku (2007) MPIM-Bonn Preprint**

Let  $F$  be a number field,  $\tilde{G}$  a semi-simple connected, simply connected split algebraic group over  $F$ ,  $\tilde{P}$  a parabolic subgroup of  $\tilde{G}$ ,  $\mathbf{F} = \tilde{G}/\tilde{P}$ ,  $\gamma$  a 1-cocycle :  $\text{Gal}(F_{\text{sep}}/F) \rightarrow \tilde{G}(F_{\text{sep}})$ ,  $\gamma\mathbf{F}$  the  $\gamma$ -twisted form of  $\mathbf{F}$ ,  $B$  a finite-dimensional separable  $F$ -algebra. Then for all  $n \geq 1$ ,

- (i)  $K_{2n}^{pr}((\gamma\mathbf{F}, B), \hat{\mathbf{Z}}_{\ell})$  is an  $\ell$ -complete Abelian group;
- (ii)  $\text{div } K_n^{pr}((\mathbf{F}, B), \hat{\mathbf{Z}}_{\ell}) = 0$ .

#### **Theorem 4.7.5: Kuku (2007 – MPIM-Bonn Preprint**

Let  $p$  be a rational prime,  $F$  a  $p$ -adici field,  $\tilde{G}$  a semi-simple connected and simply connected split algebraic group over  $F$ ,  $\tilde{P}$  a parabolic subgroup of  $\tilde{G}$ ,  $\bar{\mathbf{F}} = \tilde{G}/\tilde{P}$  the flag variety,  $\gamma$  a 1-cocycle  $\text{Gal}(F_{\text{sep}}/F) \rightarrow \tilde{G}(F_{\text{sep}})$ ,  $\gamma\mathbf{F}$  the  $\gamma$ -twisted form of  $\mathbf{F}$ ,  $B$  a finite-dimensional separable  $F$ -algebra,  $\ell$  a rational prime such that  $\ell \neq p$ . Then for all  $n \geq 2$ .

- (i)  $K_n^{pr}((\gamma F, B), \hat{\mathbf{Z}}_\ell)$  is an  $\ell$ -complete profinite Abelian group.
- (ii)  $K_n^{pr}((\gamma F, B), \hat{\mathbf{Z}}_\ell) = K_n((\gamma F, B), \hat{\mathbf{Z}}_\ell)$ ,
- (iii) The map  $\varphi: K_n(\gamma F, B) \rightarrow K_n^{pr}((\gamma F, B), \hat{\mathbf{Z}}_\ell)$  induces isomomorphiss
  - $K_n(\gamma F, B), [\ell] \cong K_n^{pr}((\gamma F, B), \hat{\mathbf{Z}}_\ell), [\ell^s]$
  - $K_n(\gamma F, B), / \ell^s \cong K_n^{pr}((\gamma F, B), \hat{\mathbf{Z}}_\ell) / \ell^s$ .
- (iv) Kernel and cokernel of  $K_n({}_r F, B) \rightarrow K_n^{pr}({}_r F, B), \hat{\mathbf{Z}}_\ell)$  are uniquely  $\ell$ -divisible.
- (v)  $\text{div } K_n^{pr}({}_r F, B), \hat{\mathbf{Z}}_\ell) = 0$  for  $n \geq 2$ .

## 5. Equivariant Higher $K$ -theory Together with Relative Generalizations

In this section, we exploit representation theoretic techniques (especially induction theory) to define and study equivariant higher  $K$ -theory and their relative generalizations. Induction theory has always aimed at computing various invariants of a group  $G$  in terms of corresponding invariants of subgroups of  $G$ . For lack of time and space, we discuss here finite group actions and note that analogous results exist for pro-finite group and compact lie group actions (see Ku-Bk (2007) chapter 9 –13).

### 5.1 Equivariant Higher $K$ -theory for Exact Categories for Finite Group Actions

#### 5.1.1 Definition

Let  $\mathcal{B}$  be a category with finite sums final object and finite pullbacks (and hence finite products) e.g., category  $G$ -set of (finite)  $G$ -Sets, where  $G$  is a finite groups,  $\mathcal{D}$  an Abelian category (e.g.,  $R$ -Mod)

A pair of functors  $(M_\alpha, M^\alpha): \mathbf{B} \rightarrow \mathbf{D}$  is called a Marchey functor if

(i)  $M_\alpha: \mathbf{B} \rightarrow \mathbf{D}$  is covariant,  $M^*: \mathbf{B} \rightarrow \mathbf{D}$  contravariant and

$$M_\alpha(X) = M^\alpha(X) = M(X) \quad \forall \quad X \in \text{ob } \mathbf{B}.$$

(ii) For any pull-back diagram

$$\begin{array}{ccc} A' & \xrightarrow{p_2} & A_2 \\ \downarrow p_1 & & \downarrow p_2 \\ A_1 & \xrightarrow{f_1} & A \end{array} \text{ in } \mathbf{B}, \text{ the diagram } \begin{array}{ccc} M(A') & \xrightarrow{f_{2*}} & M(A_2) \\ \uparrow p_1^\alpha & & \uparrow p_2^+ \\ M(A_1) & \xrightarrow{f_{1\alpha}} & M(A) \end{array} \text{ commutes}$$

(iii)  $M^\alpha$  transforms finite coproducts in  $\mathbf{B}$  into finite products in  $\mathbf{D}$  i.e., the embeddings  $X_i \rightarrow \lambda_{i=1} X_i$  induces an isomorphism

$$M(X_i \lambda X_2 \cdots \lambda X_n) \cong M(X_1) \times \cdots \times M(X_n).$$

**5.1.2** Note that (ii) above is an axiomatization of the Mackey subgroup theorem in classical representation theory (Put  $B = G\text{-Set}$ ,  $A_1 = G/H$ ;  $A_2 = G/H'$   $G/H \times G/H'$  can be identified with the set  $D(H, H') = \{HgH' | g \in G\}$  of double cosets of  $H$  and  $H'$  in  $G$ . (see [8] for a statement of Mackey subgroup theorem).

**5.1.3** We shall concentrate on exact categories in this section but observe that analogous theories exist for symmetric monoidal and Wildhanser category (see **Ku-Bk (2007) chapters 9, 10, 13**).

So, let  $\mathcal{C}$  be an exact category,  $S$  a  $G$ -set,  $G$  a finite group,  $\underline{S}$  the translation category of  $S$ . Recall that the category  $[\underline{S}, \mathcal{C}]$  of covariant functors from  $\underline{S}$  to  $\mathcal{C}$  is also an exact category where a sequence  $0 \rightarrow S' \rightarrow S \rightarrow S'' \rightarrow 0$  in  $[\underline{S}, \mathcal{C}]$  is said to be exact if  $0 \rightarrow S'(S) \rightarrow S(S) \rightarrow S''(S) \rightarrow 0$  is exact in  $\mathcal{C}$ .

### 5.1.3 Definition

Let  $K_n^G(S, \mathbf{C})$  be the  $n^{\text{th}}$  algebraic  $K$ -group associated with the exact category  $[\underline{S}, \mathbf{C}]$  with respect to fibre-wise exact sequences.

### Theorem 5.1.4

$K_n^G(-, \mathbf{C}) : \mathbf{GSet} \rightarrow \mathbf{Z} - \mathbf{Mod}$  is a Mackey functor.

(For proof see Ku-Bk (2007) or Dress/Kuku Comm. in Alg. (1981).

**5.1.5 Note:** We want to turn  $K_n^G(-, \mathbf{C})$  into a ‘Green’ functor and see that for suitable category  $\mathbf{C}$ ,  $K_n^G(-, \mathbf{C})$  is a module over  $K_n^G(-, \mathbf{C})$ . We first define these notions of ‘Green’ functor and modules over ‘Green’ functors.



### 5.1.6 Definition

A Green functor  $G: \mathcal{B} \rightarrow R\text{-Mod}$  is a Mackey functor together with a pairing  $G \times G \rightarrow G$  such that for any  $B$ -object  $X$ , the  $R$ -bilinear map  $G(X) \rightarrow G(X)$  makes  $G(X)$  into an  $R$ -algebra with a unit  $1 \in G(X)$  such that for any morphism  $f: X \rightarrow Y$ , we have  $f^*(1_{G(Y)}) = 1_{G(X)}$ .

A left (resp. right)  $G$ -module is a Mackey functor  $M: \mathcal{B} \rightarrow R\text{-Mod}$  together with a pairing  $G \times M \rightarrow M$  (resp.  $M \times G \rightarrow M$ ) such that for any  $B$ -object  $X$ ,  $M(X)$  becomes a left (resp. right) unitary  $G(X)$ -module we shall refer to left  $G$ -modules just as  $G$ -modules.

### 5.1.7 Definition

Let  $C_1, C_2, C_3$  be exact categories. An exact pairing  $(\ , \ )$ .  $C_1 \times C_2 \rightarrow C_3$  given by  $(X_1, X_2) \rightarrow (X_1 \circ X_2)$  is a covariant functor such that

$$\begin{aligned} & \text{Hom}[(X_1, X_2), (X'_1, X'_2)] \\ &= \text{Hom}(X_1, X'_1) \times \text{Hom}(X_2, X'_2) \rightarrow \text{Hom}(X_1 \circ X_2, (X'_1 \circ X'_2)) \end{aligned}$$

is bi-additive and bi-exact (see **Ku-Bk (2007) or [87]**).

### 5.1.8 Theorem

(for Proof see **Ku-Bk (200) or Dress/Kuku. Comm. in Alg.**

**(1981)**)

Let  $C_1, C_2, C_3$  be exact categories and  $C_1 \times C_2 \rightarrow C_3$  an exact pairing of exact categories,  $S$  a  $G$ -Set. Then the pairing induces a pairing  $[\underline{S}, C_1] \times [\underline{S}, C_2] \rightarrow [\underline{S}, C_3]$  and hence a pairing  $K_n^G(S, C_1) \times K_n^G(S, C_2) \rightarrow K_n^G(S, C_3)$ .

Suppose that  $\mathcal{C}$  is an exact category such that the pairing  $\mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$  is naturally associative and commutative and there exists  $E \in \mathcal{C}$  such that  $[E \circ N] = [N \circ E] = [N] \quad \forall \quad N \in \mathcal{C}$ . Then  $K_n^G(-, \mathcal{C})$  is a Green functor and  $K_n^G(-, \mathcal{C})$  is a unitary  $K_n^G(-, \mathcal{C})$ -module.

### 5.1.9 Definition/Remarks

If  $M : G\mathbf{Set} \rightarrow \mathbf{Z}\text{-}\mathbf{Mod}$  is any Mackey functor,  $X$  a  $G$ -set, define a Mackey functor  $M_X : G\mathbf{Set} \rightarrow \mathbf{Z}\text{-}\mathbf{Mod}$  by  $M_X(Y) = M(X \times Y)$ . The projection map  $pr : X \times Y \rightarrow Y$  defines a natural transformation  $\theta_X : M_X \rightarrow M$  where  $\theta_X(Y) = pr_! M(X \times Y \rightarrow M(Y))$ .  $M$  is said to be  $X$ -projective if  $\theta_X$  is split surjective i.e., there exists a natural transformation  $\varphi : M \rightarrow M_X$  such that  $O_X \varphi = id_M$ .

Now define a defect base  $D_M$  of  $M$  by  $D_M = \{H \leq G \mid X^H \neq \emptyset\}$  where  $X$  is a  $G$ -set (called defect set of  $M$ ) such that  $M$  is  $Y$ -projective iff there is a  $G$ -map  $f, X \rightarrow Y$  (See **Ku-Bk (2007) Prop. 9.1.1**).

If  $M$  is a module over a Green functor  $\mathbf{G}$ , then  $M$  is  $X$ -projective iff  $G$  is  $X$ -projective iff the induction map  $\mathbf{G}(X) \rightarrow \mathbf{G}(G/G)$  is surjective (see **Ku-Bk. Theorem 9.3.1**).

- In general, proving induction results reduce to determining  $G$ -sets  $X$  for where  $\mathbf{G}(X) \rightarrow \mathbf{G}(G/G)$  is surjective and this in turn reduces to computing  $D_G$  (see Ku-Bk 9.6.1).

Hence one could apply induction techniques to obtain results on higher  $K$ -groups  $K_n^G(-, \mathbb{C})$  which are modules over Green functors  $K_n^G(-, \mathbb{C})$ .

## 5.2 Relative Equivalent Higher Algebraic k-theory

**Definition 5.2.1** Let  $S, T$  be  $G$ -Sets. Then the projection  $S \times T \xrightarrow{\varphi} S$  gives rise to a functor  $\underline{S} \times \underline{T} \xrightarrow{\varphi} \underline{S}$ . Suppose that  $\underline{C}$  is an exact category. If  $\zeta \in [\underline{S}, \underline{C}]$ , we write  $\zeta'$  for  $\zeta \circ \varphi : \underline{S} \times \underline{T} \xrightarrow{\varphi} \underline{S} \xrightarrow{\zeta} \underline{C}$ . Then a sequence  $\zeta_1 \rightarrow \zeta_2 \rightarrow \zeta_3$  of functors in  $[\underline{S}, \underline{C}]$  is said to be  $T$ -exact if the sequence  $\zeta'_1 \rightarrow \zeta'_2 \rightarrow \zeta'_3$  of restricted functors  $\underline{S} \times \underline{T} \xrightarrow{\varphi} \underline{S} \xrightarrow{\zeta} \underline{C}$  is split exact. If  $\varphi : S_2 \rightarrow S_1$  is a  $G$ -map, and  $\zeta_1 \rightarrow \zeta_2 \rightarrow \zeta_3$  is a  $T$ -exact sequence in  $[\underline{S}, \underline{C}]$ , and we put  $\hat{\zeta}_i = \varphi \circ \zeta_i$ , then  $\hat{\zeta}_1 \rightarrow \hat{\zeta}_2 \rightarrow \hat{\zeta}_3$  is  $T$ -exact in  $[\underline{S}_1, \underline{C}]$ . Let  $K_n^G(S, \underline{C}, T)$  be the  $n$ th algebraic  $K$ -group associated to the exact category  $[\underline{S}, \underline{C}]$  with respect to  $T$ -exact sequence.

**Remarks:** The use of the restriction functors  $\zeta', \hat{\zeta}$  in 5.2.1 constitute a special case of the following general situation. Let  $\zeta$  be an exact category and  $B, B'$  any small categories. We define exactness in  $[B, \zeta]$  relative to some covariant functor  $\delta : B' \rightarrow B$ .

Thus a sequence  $\varsigma_1 \rightarrow \varsigma_2 \rightarrow \varsigma_3$  of functors in  $[\mathbf{B}, \mathbf{C}]$  is said to be exact relative to  $\delta: \mathbf{B}' \rightarrow \mathbf{B}$  if it is exact fibrewise and if the sequence  $\varsigma'_1 \rightarrow \varsigma'_2 \rightarrow \varsigma'_3$  of restricted functors  $\varsigma'_i := \varsigma_i \circ \delta': \mathbf{B}' \xrightarrow{\delta} \mathbf{B} \xrightarrow{\varsigma} \mathbf{C}$  is split exact. Let  $K_n^G(S, \mathbf{C}, T)$  be the  $n$ th algebraic  $K$ -group associated to the exact category  $[\mathbf{S}, \mathbf{C}]$  w.r.t exact sequences.

### 5.2.3 Definition

Let  $S, T$  be  $G$ -Sets. A functor  $\varsigma \in [\underline{S}, \mathbf{C}]$  is said to be  $T$ -projective if any  $T$ -exact sequence  $\varsigma_1 \rightarrow \varsigma_2 \rightarrow \varsigma$  is exact. Let  $[\underline{S}, \mathbf{C}]_T$  be the additive category of  $T$ -projective functors in  $[\underline{S}, \mathbf{C}]$  considered as an exact category with respect to split exact sequences. Note that the restriction functor associated to  $S_1 \xrightarrow{\psi} S_2$  carries  $T$ -projective functors  $\varsigma \in [\underline{S}_2, \mathbf{C}]$  into  $T$ -projective functors  $\varsigma \circ \psi \in [\underline{S}_1, \mathbf{C}]$ . Define  $P_n^G(S, \mathbf{C}, T)$  as the  $n$ th algebraic  $K$ -group associated to the exact category  $[\underline{S}, \mathbf{C}]_T$ , with respect to split exact sequences.

### 5.2.3 Theorem

$K_n^G(-, C, T)$  and  $P_n^G(-, C, T)$  are Mackey functors from  $GSet$  to  $Ab$  for all  $n \geq 0$ . If the pairing  $C \times C \rightarrow C$  is naturally associative and commutative and contains a natural unit, then  $K_n^G(-, C, T) : GSet \rightarrow Ab$  is a Green functor, and  $K_n^G(-, C, T)$  and  $P_n^G(-, C, T)$  are  $K_0^G(-, C, T)$ -modules.

Also, the induction functor  $\psi_* : [\underline{S}_1, C] \rightarrow [\underline{S}_2, C]$  associated to  $\psi : S_1 \rightarrow S_2$  preserves  $T$ -exact sequences and  $T$ -projective functors and hence induces homomorphism

$K_n^G(\psi, C, T)_* : K_n^G(S_1, C, T) \rightarrow K_n^G(S_2, C, T)$  and  $P_n^G(\psi, C, T)_* : P_n^G(S_1, C, T) \rightarrow P_n^G(S_2, C, T)$ , thus making  $K_n^G(-, C, T)$  and  $P_n^G(S_1, C, T)$  covariant functors. Other properties of Mackey functors can be easily verified.

Observe that for any  $GSet\ T$ , the pairing  $[\underline{S}_1, \mathbf{C}] \times [\underline{S}_2, \mathbf{C}] \rightarrow [\underline{S}_3, \mathbf{C}]$  takes  $T$ -exact sequences into  $T$ -exact sequences, and so, if  $[\underline{S}_i, \mathbf{C}]$ ,  $i = 1, 2$  are considered as exact categories with respect to  $T$ -exact sequences, then we have a pairing  $K_0^G(\underline{S}, C_1, T) \times K_n^G(\underline{S}, C_2, T) \rightarrow K_n^G(\underline{S}, C_3, T)$ . Also if  $\varsigma_3$  is  $T$ -projective, so is  $\langle \varsigma_1, \varsigma_2 \rangle$ . Hence, if  $[\underline{S}, \mathbf{C}_1]$  is considered as an exact category with respect to  $T$ -exact sequences, we have an induced pairing  $K_0^G(\underline{S}, C_1, T) \times P_n^G(\underline{S}, C_2, T) \rightarrow P_n^G(\underline{S}, C_3, T)$ . Now, if we put  $C_1 = C_2 = C_3 = C$  such that the pairing  $C \times C \rightarrow C$  is naturally associative and commutative and  $C$  has a natural unit, then, as in theorem 5.1.8  $K_0^G(-, C, T)$  is a Green functor and it is clear from the above that  $K_n^G(-, C, T)$  and  $P_n^G(-, C, T)$  are  $K_0^G(-, C, T)$ -modules.



### 5.2.4 Remarks

- (i) In the notation of theorem 5.2.3, we have the following natural transformation of functors:  
 $P_n^G(-, \mathbf{C}, T) \rightarrow K_n^G(-, \mathbf{C}, T) \rightarrow K_n^G(-, \mathbf{C})$ , where  $T$  is any  $G$ -set,  $G$  a finite group, and  $\mathbf{C}$  an exact category. Note that the first map is the ‘Cartan’ map.
- (ii) If there exists a  $G$ -map  $T_2 \rightarrow T_1$ , we also have the following natural transformations  
 $P_n^G(-, \mathbf{C}, T_2) \rightarrow P_n^G(-, \mathbf{C}, T_1)$  and  
 $K_n^G(-, \mathbf{C}, T_1) \rightarrow K_n^G(-, \mathbf{C}, T_2)$  since, in this case, any  $T_1$ -exact sequence is  $T_2$ -exact.

## 5.3 Interpretation in Terms of Group-rings

In this subsection, we discuss how to interpret the theories in previous sections in terms of group-rings.

**5.3.1** Recall that any  $G$ -set  $S$  can be written as a finite disjoint union of transitive  $G$ -sets, each of which is isomorphic to a quotient set  $G/H$  for some subgroup  $H$  of  $G$ . Since Mackey functors, by definition, take finite disjoint unions into finite direct sums, it will be enough to consider exact categories  $[G/H, \mathcal{C}]$  where  $\mathcal{C}$  is an exact category.

For any ring  $A$ , let  $\mathcal{M}(A)$  be the category of finitely generated  $A$ -modules and  $\mathcal{P}(A)$  the category of finitely generated projective  $A$ -modules. Recall from ... that if  $G$  is a finite group,  $H$  a subgroup of  $G$ ,  $A$  a commutative ring, then there exists an equivalence of exact categories  $[G/H, \mathcal{M}(A)] \rightarrow \mathcal{M}(AH)$ . Under this experience,  $[G/H, \mathcal{P}(A)]$  is identified with the category of finitely generated  $A$ -projective left  $AH$ -modules, i.e.,  $[G/H, \mathcal{P}(A)] \cong \mathcal{P}_A(AH)$ .

We now observe that a sequence of functors  $\zeta_1 \rightarrow \zeta_2 \rightarrow \zeta_3 \in [G/H, \mathbf{M}(A)]$  or  $[G/H, \mathbf{P}(A)]$  is exact if the corresponding sequence  $\zeta_1(H) \rightarrow \zeta_2(H) \rightarrow \zeta_3(H)$  of  $AH$ -modules is exact.

### Remarks 5.3.2

- (i) It follows that for every  $n \geq 0$ ,  $K_n^G[G/H, \mathbf{P}(A)]$  can be identified with the  $n$ th algebraic  $K$ -group of the category of finitely generated  $A$ -projective  $AH$ -modules while  $K_n^G[G/H, \mathbf{P}(A)] = G_n(AH)$  if  $A$  is Noetherian. It is well known that  $K_n^G[G/H, \mathbf{P}(A)] = K_n^G[G/H, \mathbf{M}(A)]$  is an isomorphism when  $A$  is regular.

- (i) Let  $\varphi: G/H_1 \rightarrow G/H_2$  be a  $G$ -map for  $H_1 \leq H_2 \leq G$ . We may restrict ourselves to the case  $H_2 = G$ , and so, we have  $\varphi^*: [G/G, \mathbf{M}(A)] \rightarrow [G/H, \mathbf{M}(A)]$  corresponding to the restriction functor  $\mathbf{M}(AG) \rightarrow \mathbf{M}(AH)$ , while  $\varphi_*: [G/H, \mathbf{M}(A)] \rightarrow [G/G, \mathbf{M}(A)]$  corresponds to the induction functor  $\mathbf{M}(AH) \rightarrow \mathbf{M}(AG)$  given by  $N \rightarrow AG \otimes_{AN} N$ . Similar situations hold for functor categories involving  $\mathbf{P}(A)$ . So, we have corresponding restriction and induction homomorphisms for the respective  $K$ -groups.
- (ii) If  $C = \mathbf{P}(A)$  and  $A$  is commutative, then the tensor product defines a naturally associative and commutative pairing  $\mathbf{P}(A) \times \mathbf{P}(A) \rightarrow \mathbf{P}(A)$  with a natural unit, and so,  $K_n^G(-, \mathbf{P}(A))$  are  $K_0^G(-, \mathbf{P}(A))$ -modules.

**5.3.3** We now interpret the relative situation. So let  $T$  be a  $G$ -set. Note that a sequence  $\varsigma_1 \rightarrow \varsigma_2 \rightarrow \varsigma_3$  of functors in  $[G/H, \mathbf{M}(A)]$  or  $[G/H, \mathbf{P}(A)]$  is said to be  $T$ -exact if  $\varsigma_1(H) \rightarrow \varsigma_2(H) \rightarrow \varsigma_3(H)$  is  $AH'$ -split exact for all  $H' \leq H$  such that  $T^{H'} \neq \emptyset$  where  $T^{H'} \rightarrow \{t \in T \mid gt = t \ \forall g \in H'\}$ . In particular, the sequence of  $G/H$ -exact (resp.  $G/G$ -exact) if and only if the corresponding sequence of  $AH$ -modules (resp.  $A/G$ -modules) is split exact. If  $\varepsilon$  is the trivial subgroup of  $G$ , it is  $G/\varepsilon$ -exact if it is split exact as a sequence of  $A$ -modules.

So,  $K_n^G(G/H, \mathbf{P}(A), T)$  (resp.  $K_n^G(G/H, \mathbf{M}(A), T)$ ) is the  $n$ th algebraic  $K$ -group of the category of finitely generated  $A$ -projective  $AH$ -modules (resp. category of finitely generated  $AH$ -modules) with respect to exact sequences that split when restricted to the various subgroups  $H'$  of  $H$  such that  $T^{H'} \neq \emptyset$  with respect to exact sequences. In particular,  $K_n^G(G/H, \mathbf{P}(A), G/\varepsilon) = K_n(AH)$ . If  $A$  is commutative, then  $K_n^G(-, \mathbf{P}(A), T)$  is a Green functor, and  $K_n^G(-, \mathbf{P}(A), T)$  and  $P_n^G(-, \mathbf{P}(A), T)$  are  $K_0^G(-, \mathbf{P}(A), T)$ -modules.

Now, let us interpret the map, associated to  $G$ -maps  $S_1 \rightarrow S_2$ . We may specialize to maps  $\varphi: G/H_1 \rightarrow G/H_2$  for  $H_1 \leq H_2 \leq G$ , and for convenience we may restrict ourselves to the case  $H_2 = G$ , which we write  $H_1 = H$ . In this case,  $\varphi^*: [\underline{G/G}, \mathbf{M}(A)] \rightarrow [\underline{G/H}, \mathbf{M}(A)]$  corresponds to the restriction of  $AG$ -modules to  $AH$ -modules, and  $\varphi_*: [\underline{G/H}, \mathbf{M}(A)]$  corresponds to the induction of  $AH$ -modules to  $AG$ -modules.

Since any  $G$ -set  $S$  can be written as a disjoint union of transitive  $G$ -sets isomorphic to some coset-set  $G/H$ , and since all the above  $K$ -functors satisfy the additivity condition, the above identification extend to  $K$ -groups, defined on an arbitrary  $G$ -set  $S$ .

## 5.4 Some Applications

**5.4.1** We are now in position to draw various conclusions just by quoting well-established induction theorems concerning

$K_0^G(-, \mathbf{P}(A))$  and  $K_0^G(-, \mathbf{P}(A), T)$ , and more generally  $R \otimes_Z K_0^G(-, \mathbf{P}(A))$  and  $R \otimes_Z K_0^G(-, \mathbf{P}(A), T)$  for  $R$ , a subring of  $Q$ , or just any commutative ring (see ...). Since any exact sequence in  $\mathbf{P}(A)$  is split exact, we have a canonical identification  $K_0^G(-, \mathbf{P}(A), T) = K_0^G(-, \mathbf{P}(A), G/\varepsilon)$  ( $\varepsilon$  the trivial subgroup of  $G$ ) and thus may direct our attention to the relative case only.

So, let  $T$  be a  $G$ -set. For  $p$  a prime and  $q$  a prime or 0, let  $\mathbf{D}(p, T, q)$  denote the set of subgroups  $H \leq G$  such that the smallest normal subgroup  $H_1$  of  $H$  with a  $q$ -factor group has a normal Sylow-subgroup  $H_2$  with  $T^{H_2} \neq \emptyset$  and a cyclic factor group  $H_1/H_2$ . Let  $\mathbf{H}_q$  denote the set of subgroups  $H \leq G$ , which are  $q$ -hypercentral, i.e., have a cyclic normal subgroup with a  $q$ -factor group (or are cyclic for  $q = 0$ ).

For  $A$  and  $R$  being commutative rings, let  $\mathbf{D}(A, T, R)$  denote the union of all  $\mathbf{D}(p, T, q)$  with  $pA \neq A$  and  $qR \neq R$ , and let  $H_R$  denote the set of all  $H_q$  with  $qR \neq R$ . Then, it has been proved (see [11], [44])  $R \otimes_Z K_0^G(-, \mathbf{P}(A), T)$  is  $S$ -projective for some  $G$ -set  $S$  if  $S^H \neq \emptyset \quad \forall H \in \mathbf{D}(A, T, R) \cap H_R$ . Moreover, if  $A$  is a field of characteristic  $p \neq 0$ , then  $K_0^G(-, \mathbf{P}(A), T)$  is  $S$ -projective already if  $S^H \neq \emptyset \quad \forall H \in \mathbf{D}(A, T, R)$ . (Also see Ku-Bk).



**5.4.2** Among the many possible applications of these results, we discuss just one special case. Let  $A = k$  be a field of characteristic  $p \neq 0$ , let  $R = \mathbf{Z}\left(\frac{1}{p}\right)$ , and let  $S = \cup_{H \in D(k, T, R)} G/H$ . Then,  $R \otimes_{\mathbf{Z}} K_n^G(-, \mathbf{P}(k), T)$  are  $S$ -projective. Moreover, the Cartan map  $K_n^G(-, \mathbf{P}(k), T) \rightarrow K_n^G(-, \mathbf{P}(k), T)$  is an isomorphism for any  $G$ -set  $S$  for which the Sylow- $p$ -subgroups  $H$  of the stabilizers of the elements in  $X$  have a non-empty fixed point set  $T^H \in T$ , since in this case  $T$ -exact sequences over  $X$  are split exact and thus all functors  $\zeta : \underline{X} \rightarrow \mathbf{P}(k)$  are  $T$ -projective, i.e.,  $[X, \mathbf{P}(k)]_{\tau} \rightarrow [X, \mathbf{P}(k)]$  is an isomorphism if  $[X, \mathbf{P}(A)]$  is taken to be exact with respect to  $T$ -exact and thus split exact sequences. This implies in particular that for  $G$ -sets  $X$ , the Cartan map

$$P_n^G(X \times S, \mathbf{P}(k), T) \rightarrow K_n^G(X \times S, \mathbf{P}(k), T)$$

is an isomorphism since any stabilizer group of an element in  $X \times S$  is a subgroup of a stabilizer group of an element in  $S$ , and thus, by the very definition of  $S$  and  $D(k, T, \mathbf{Z}(\frac{1}{p}))$ , has a Sylow- $p$ -subgroup  $H$  with  $T^H \neq \emptyset$ . This finally implies that  $P_n^G(-, \mathbf{P}(k), T)_S \rightarrow K_n^G(-, \mathbf{P}(k), T)_S$  is an isomorphism. So, by the general theory of Mackey functors,

$$\mathbf{Z}\left(\frac{1}{p}\right) \otimes P_n^G(-, \mathbf{P}(k)T) \rightarrow \mathbf{Z}\left(\frac{1}{p}\right) \otimes K_0^G(-, \mathbf{P}(k)T)$$

is an isomorphism. The special case  $(T = G/\varepsilon)$   $P_n^G(-, \mathbf{P}(k), G/\varepsilon)$ , just the  $K$ -theory of finitely generated projective  $kG$ -modules and  $K_n^G(-, \mathbf{P}(k), G/\varepsilon)$  the  $K$ -theory of finitely generated  $kG$ -modules with respect to exact sequences. Thus we have proved the following.

### Theorem 5.4.3

Let  $k$  be a field of characteristic  $p$ ,  $G$  a finite group. Then, for all  $n \geq 0$ , the Cartan map  $K_n(kG) \rightarrow G_n(kG)$  induces isomorphisms

$$\mathbf{Z}\left(\frac{1}{p}\right) \otimes K_n(kG) \rightarrow \mathbf{Z}\left(\frac{1}{p}\right) \otimes G_n(kG).$$

Here are some applications of theorem 5.4.3. These applications are due to A.O. Kuku (see [42]).

### Theorem 5.4.4

Let  $p$  be a rational prime,  $k$  a field of characteristic  $p$ ,  $G$  a finite group. Then for all  $n \geq 1$ .

- (i)  $K_{2n}(kG)$  is a finite  $p$ -group.
- (ii) The Cartan homomorphism  $\varphi_{2n-1} : K_{2n-1}(kG) \rightarrow G_{2n-1}(kG)$  is surjective, and  $\ker \varphi_{2n-1}$  is the Sylow- $p$ -subgroup of  $K_{2n-1}(kG)$ .

### Corollary 5.4.5

Let  $k$  be a field of characteristic  $p$ ,  $\mathcal{C}$  a finite  $E1$  category. Then, for all  $n \geq 0$ , the Cartan homomorphism  $K_n(k\mathcal{C}) \rightarrow G_n(k\mathcal{C})$  induces isomorphism

$$\mathbf{Z}\left(\frac{1}{p}\right) \otimes K_n(k\mathcal{C}) \cong \mathbf{Z}\left(\frac{1}{p}\right) \otimes G_n(k\mathcal{C}).$$

### Corollary 5.4.6

Let  $R$  be the ring of integers in a number field  $F$ ,  $m$  a prime ideal of  $R$  lying over a rational prime  $p$ . then for all,  $n \geq 1$ ,

- (a) the Cartan map  $K_n((R/m)\mathcal{C}) \rightarrow G_n((R/m)\mathcal{C})$  is surjective;
- (b)  $K_{2n}((R/m)\mathcal{C})$  is a finite  $p$ -group

Finally, with the identification of Mackey functors:  $G\text{Set} \rightarrow \text{Ab}$  with Green's  $G$ -functors  $\underline{\delta}G \rightarrow \text{Ab}$  as in [42] and above interpretations of our equivariant theory in terms of groupings, we now have, from the forgoing, the following result, which says that higher algebraic  $K$ -groups are hyperelementary computable. First, we define this concept.

### **Definition 5.4.7**

Let  $G$  be a finite group,  $\mathcal{U}$  a collection of subgroups of  $G$  closed under subgroups and isomorphic images,  $A$  a commutative ring with identity. Then a Mackey functor  $M : \delta G \rightarrow A\text{-Mod}$  is said to be  $\mathcal{U}$ -compatible if the restriction maps  $M(G) \rightarrow \prod_{H \in \mathcal{U}} M(H)$  induces an isomorphism  $M(G) \cong \varprojlim_{H \in \mathcal{U}} M(H)$  where  $\varprojlim_{H \in \mathcal{U}}$  is the subgroup of all  $(x) \in \prod_{H \in \mathcal{U}} M(H)$  such that for any  $H, H' \in \mathcal{U}$  and  $g \in G$  with  $gH'g^{-1} \subseteq H$ ,  $\varphi : H' \rightarrow H$  given by  $h \rightarrow ghg^{-1}$ , then  $M(\varphi)(x_{H'}) = x_H$ .

Now, if  $A$  is a commutative ring with identity,  $M : \delta G \rightarrow \mathbf{Z} - \text{Mod}$  a Mackey functor, then  $A \otimes M(H)$ . Now, let  $P$  be a set of rational primes,  $\mathbf{Z}_P = Z\left[\frac{1}{q} \mid q \notin P\right]$ ,  $C(G)$  the collection of all cyclic subgroups of  $G$ ,  $h_P C(G)$  the collection of all  $P$ -hypercyclic subgroups of  $G$ , i.e.,

$$h_P C(G) = \{H \leq G \mid \exists H' \leq H, H' \in (G), H/H' \text{ a } p\text{-group for some } p \in P\}$$

Then we have the following theorem,

### **Theorem 5.4.7**

Let  $R$  be a Dedekind ring,  $G$  a finite group,  $M$  any of the Green modules  $K_n(k-1)$ ,  $G_n(k-1)$ ,  $SK_n(k-1)$ ,  $SG_n(R-1)$ ,  $Cl_n(R-1)$  over  $G_0(R-1)$  then  $\mathbf{Z}_P \otimes M$  is  $h_P(C(G))$ -computable.

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