

# A super duality and Kazhdan-Lusztig polynomials

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## A brief history on Lie superalgebras $\mathfrak{gl}(m|n)$ :

- Kac (1977): classification of finite-dimensional simple Lie superalgebras over  $\mathbb{C}$ .
- Kac (1978): characters for the (f.-d.) Kac modules; irreducible characters of the **typical** modules.  
(typical  $:= \exists$  unique object in the corresponding block;  $\infty$ -many simple objects in any *atypical* block.)
- Numerous partial results (Bernstein-Leites, Sergeev, Berele-Regev, Penkov-Serganova, van der Jeugt-Hughes-King-Thierry-Mieg, Cheng, W, Zhang, etc.)
- Serganova (1996): first solution to the problem of f.-d. irreducible characters of  $\mathfrak{gl}(m|n)$  via Kazhdan-Lusztig-Vogan theory.

## A new approach of Brundan (JAMS, 2003):

- Brundan defined on the Fock space

$$\mathcal{E}^{m|n} := \Lambda^m \mathbb{V} \otimes \Lambda^n \mathbb{V}^*$$

(or rather its topological completion  $\widehat{\mathcal{E}}^{m|n}$ ) the monomial, canonical, and dual canonical bases  $\{K_\lambda\}$ ,  $\{U_\lambda\}$  and  $\{L_\lambda\}$ , and the associated KL polynomials.

Here  $\mathbb{V}$  is the natural  $U_q(\mathfrak{sl}(\infty))$ -module and  $\mathbb{V}^*$  its dual.

- The category  $\mathcal{O}_{m|n}^+$  of f.-d. modules of  $\mathfrak{gl}(m|n)$  is governed by the  $q = 1$  specialization of KL polynomials:

Kac  $K(\lambda) \leftrightarrow K_\lambda$ , tilting  $U(\lambda) \leftrightarrow U_\lambda$ , irreducible  $L(\lambda) \leftrightarrow L_\lambda$ .

- Explicit formulas for KL polynomials, etc.

- **Question:**

any connections between representation theories of super  $\mathfrak{gl}(m|n)$  and reductive  $\mathfrak{gl}(m+n)$ ???

- ‘Expected’ answer from ‘Super experts’: no, for example,
  - any (atypical) block of  $\mathfrak{gl}(m|n)$  contains  $\infty$ -many simples
  - a block of  $\mathfrak{gl}(m+n)$  contains finitely many simples, as governed by the Weyl group  $S_{m+n}$

- **Goal:** Super duality — a direct connection between representation theories of Lie algebras and Lie superalgebras  
Expected: this is a general ‘desuperlizing’ principle
- **Consequence:**  
Brundan-Serganova KL polynomials = usual parabolic KL;  
the usual parabolic KL polynomials compute the characters of finite-dimensional irreducible  $\mathfrak{gl}(m|n)$ -modules
- **Key:** pass to  $n = \infty$ !

## Lie Superalgebra ABC:

- The general linear Lie superalgebra  $\mathfrak{g} = \mathfrak{gl}(m|n)$
- The odd part  $:= \mathfrak{g}_{-1} \oplus \mathfrak{g}_{+1}$   
The even part  $\mathfrak{g}_0 := \mathfrak{gl}(m) \oplus \mathfrak{gl}(n)$
- (standard) Cartan subalgebra  $\mathfrak{h}$ , Borel subalgebra  $\mathfrak{b}$ , parabolic subalgebra  $\mathfrak{p} = \mathfrak{g}_0 + \mathfrak{b}$ .
- Index set  $I(m|n) = \{-m, \dots, -1, 1, \dots, n\}$ .

## Lie Superalgebra ABC (cont'd):

- $\delta_i :=$  the  $i$ -th fundamental weight.
- Symmetric bilinear form on  $\mathfrak{h}^*$ :

$$(\delta_i | \delta_j) = -\text{sgn}(i)\delta_{ij}, \quad i, j \in I(m|n).$$

- The sets of (dominant  $=+$  or  $++$  below) integral weights:

$$X_{m|n} := \left\{ \lambda = \sum_{i \in I(m|n)} \lambda_i \delta_i \mid \lambda_i \in \mathbb{Z} \right\}$$

$$X_{m|n}^+ := \left\{ \lambda \in X_{m|n} \mid \lambda_{-m} \geq \cdots \geq \lambda_{-1}, \lambda_1 \geq \cdots \geq \lambda_n \right\}$$

$$X_{m|n}^{++} := \left\{ \lambda \in X_{m|n}^+ \mid \lambda_n \geq 0 \right\}$$

Also,  $X_{m|\infty}^+ :=$  set of dominant integral  $\lambda$  with  $\lambda_i = 0, i \gg 0$ .

## Lie Superalgebra ABC (cont'd):

- Sets of ' $\rho$ -shifted weights':

$$\mathbb{Z}^{m|n} := \{f : I(m|n) \rightarrow \mathbb{Z}\},$$

$$\mathbb{Z}_+^{m|n} := \{f \in \mathbb{Z}^{m|n} \mid f(-m) > \cdots > f(-1); f(1) < \cdots < f(n)\},$$

$$\mathbb{Z}_{++}^{m|n} := \{f \in \mathbb{Z}_+^{m|n} \mid f(n) \leq n\}.$$

- Bijections:  $X_{m|n}^+ \xrightarrow{\cong} \mathbb{Z}_+^{m|n}$ ,  $X_{m|n}^{++} \xrightarrow{\cong} \mathbb{Z}_{++}^{m|n}$

sends  $\lambda \mapsto f_\lambda$ , where

$$f_\lambda(i) = (\lambda + \rho | \delta_i), \quad i \in I(m|n),$$

and  $\rho := m\delta_{-m} + \cdots + 2\delta_{-2} + \delta_{-1} - \delta_1 - 2\delta_2 - \cdots - n\delta_n$ .

E.g.  $f_\emptyset = (m, \cdots, 2, 1 | 1, 2, \cdots, n)$ .

## Categories:

- $\mathcal{O}_{m|n}^+$  = Category of fin. generated integral-weight modules  $M$  of  $\mathfrak{g}$  which are locally finite over  $\mathfrak{p}$ , semisimple over  $\mathfrak{g}_{\bar{0}} = \mathfrak{gl}(m) \oplus \mathfrak{gl}(n)$ ;
- $\mathcal{O}_{m|n}^{++}$  = the full subcategory of  $\mathcal{O}_{m|n}^+$  which consists of modules whose composition factors are of the form  $L_n(\lambda)$ ,  $\lambda \in X_{m|n}^{++}$ ;
- Grothendieck group  $G(\mathcal{O}_{m|n}^+)$  and its completion  $\widehat{G}(\mathcal{O}_{m|n}^+)$

## Objects in $\mathcal{O}_{m|n}^+$ :

- For  $\lambda \in X_{m|n}^+$ , the *Kac module*

$$K(\lambda) := U(\mathfrak{g}) \otimes_{U(\mathfrak{p})} L^{\mathfrak{g}_0}(\lambda)$$

- the irreducible module  $L(\lambda)$
- the tilting module  $U(\lambda)$  characterized by
  1. indecomposable;
  2.  $U(\lambda)$  has a Kac flag with  $K(\lambda)$  at the bottom;
  3.  $\text{Ext}^1(K(\mu), U(\lambda)) = 0$ , for all  $\mu \in X_{m|n}^+$

(existence of  $U(\lambda)$  due to Brundan for a finite  $n$  and CWZ for  $n = \infty$ )

## Super Bruhat Ordering:

- Let  $\mathbf{1}_{i,j} \in \mathbb{Z}^{m|n}$  be the function which equals 1 at  $i$  and  $j$ , and 0 elsewhere, for given  $i < 0 < j \in I(m|n)$ .
- Write  $f \downarrow g$  (for  $f, g \in \mathbb{Z}_+^{m|n}$ ) if
 
$$g = (f - r\mathbf{1}_{i,j})^+ \text{ for some } i < 0 < j, r > 0, \text{ such that } f(i) = f(j).$$

Here  $f^+ :=$  the dominant conjugate of  $f$  by  $S_m \times S_n$ .

- *Super Bruhat ordering* on  $\mathbb{Z}_+^{m|n}$  (and on  $X_{m|n}^+$ ):

$$f \succ g \Leftrightarrow \exists h_1, \dots, h_r \in \mathbb{Z}_+^{m|n} \text{ such that } f = h_1 \downarrow \cdots \downarrow h_r = g.$$

## Blocks and Central Characters:

- $f, g \in \mathbb{Z}_+^{m|n}$  have same central characters  $\chi_f = \chi_g$

$\Leftrightarrow$

$f, g$  are linked by the super Bruhat ordering,

i.e.  $\exists h \in \mathbb{Z}_+^{m|n}$  such that  $f \succ h, g \succ h$ .

- $\chi_f = \chi_g \Leftrightarrow \text{wt}^\epsilon(f) = \text{wt}^\epsilon(g)$

where  $\text{wt}^\epsilon : \mathbb{Z}^{m|n} \rightarrow \sum_{a \in \mathbb{Z}} \mathbb{Z}\epsilon_a$ :

$$\text{wt}^\epsilon(f) := \sum_{i \in I(m|n)} -\text{sgn}(i)\epsilon_{f(i)}$$

and  $\epsilon_a, a \in \mathbb{Z}$ , denotes the fundamental weights for  $\mathcal{U}(sl(\infty))$ .

## Fock space:

- The quantum group  $\mathcal{U} = U_q(\mathfrak{sl}(\infty))$ ;  
Chevalley generators  $E_a, F_a, K_{a,a+1}, a \in \mathbb{Z}$
- $\mathbb{V}$  is the natural  $\mathcal{U}$ -module, with basis  $\{v_a\}_{a \in \mathbb{Z}}$ ;  
 $\mathbb{V}^*$  is the dual module to  $\mathbb{V}$ , with dual basis  $\{w_a\}_{a \in \mathbb{Z}}$
- Fock space (i.e. space of tensors of  $q$ -wedges)

$$\mathcal{E}^{m|n} := \Lambda^m \mathbb{V} \otimes \Lambda^n \mathbb{V}^*$$

- *Monomial basis* for  $\mathcal{E}^{m|n}$ :

$$K_f := v_{f(-m)} \wedge \cdots \wedge v_{f(-1)} \otimes v_{f(1)} \wedge \cdots \wedge v_{f(n)}, \quad f \in \mathbb{Z}_+^{m|n}$$

### (Dual) Canonical bases:

Existence and uniqueness of a suitable bar involution on  $\widehat{\mathcal{E}}^{m|n}$  implies

**Theorem 0.1 (Brundan for finite  $n$ ).** *Let  $n \in \mathbb{N} \cup \infty$ .  $\exists$  unique canonical basis  $\{U_f\}_{f \in \mathbb{Z}_+^{m|n}}$  and dual canonical  $\{L_f\}$  for  $\widehat{\mathcal{E}}^{m|n}$  s.t.*

1.  $\overline{U}_f = U_f$  and  $\overline{L}_f = L_f$ ;
2.  $U_f \in K_f + \widehat{\sum}_{g \in \mathbb{Z}_+^{m|n}; g \prec_f} q\mathbb{Z}[q]K_g$ ;
3.  $L_f \in K_f + \widehat{\sum}_{g \in \mathbb{Z}_+^{m|n}; g \prec_f} q^{-1}\mathbb{Z}[q^{-1}]K_g$

## Kazhdan-Lusztig polynomials:

- (Brundan) KL polynomials  $u_{g,f}(q) \in q\mathbb{Z}[q]$  and  $\ell_{g,f}(q) \in q^{-1}\mathbb{Z}[q^{-1}]$  associated to  $f, g \in \mathbb{Z}_+^{m|n}$ :

$$U_f = K_f + \sum_{\substack{g \in \mathbb{Z}_+^{m|n} \\ g \prec f}} u_{g,f}(q) K_g,$$

$$L_f = K_f + \sum_{\substack{g \in \mathbb{Z}_+^{m|n} \\ g \prec f}} \ell_{g,f}(q) K_g.$$

## Jantzen Translation Functors:

- $V :=$  the natural module of  $\mathfrak{gl}(m|n)$
- Translation functors  $E_a, F_a : \mathcal{O}_{m|n}^+ \longrightarrow \mathcal{O}_{m|n}^+$  ( $a \in \mathbb{Z}$ ):  
sends  $M$  in a block  $\mathcal{O}^+(\gamma)$  ( $\gamma \in \sum_{a \in \mathbb{Z}} \mathbb{Z}\epsilon_a$ ) to

$$\begin{aligned} F_a M &:= \text{pr}_{\gamma - (\epsilon_a - \epsilon_{a+1})}(M \otimes V), \\ E_a M &:= \text{pr}_{\gamma + (\epsilon_a - \epsilon_{a+1})}(M \otimes V^*). \end{aligned}$$

Additional care is needed when  $n = \infty$ .

## KL conjecture for Super $\mathfrak{gl}(m|n)$ :

**Theorem 0.2** (Brundan for finite  $n$ ; CWZ for  $n = \infty$ ).

1.  $\exists$  a unique tilting module  $U_n(\lambda)$  for each  $\lambda \in X_{m|n}^+$ ;
2. Sending Chevalley generators  $E_a, F_a$  to translation functors  $E_a, F_a$  defines a  $\mathcal{U}_{q=1}$ -module structure on  $G(\mathcal{O}_{m|n}^+)$ ;
3.  $j : \widehat{G}(\mathcal{O}_{m|n}^+) \rightarrow \widehat{\mathcal{E}}^{m|n}, \quad [K(\lambda)] \mapsto K_{f_\lambda}(1)$   
is an isomorphism of  $\mathcal{U}_{q=1}$ -modules;
4.  $j : [L(\lambda)] \mapsto L_{f_\lambda}(1),$   
 $j : [U(\lambda)] \mapsto U_{f_\lambda}(1).$

**Main tool for passing to  $n = \infty$ :**

- Truncation maps  $\mathfrak{Tr}_{n',n}$  ( $n < n' \leq \infty$ ) between Fock spaces:

$$\widehat{\mathcal{E}}^{m|\infty} \longrightarrow \dots \longrightarrow \widehat{\mathcal{E}}_+^{m|n+1} \xrightarrow{\mathfrak{Tr}_{n+1,n}} \widehat{\mathcal{E}}_+^{m|n} \longrightarrow \dots$$

compatible with the monomial, (dual) canonical bases for varied  $n$ .

- Truncation functors  $\mathfrak{tr}_{n',n}$  ( $n < n' \leq \infty$ ) of categories:

$$\mathcal{O}_{m+\infty}^+ \longrightarrow \dots \longrightarrow \mathcal{O}_{m|n+1}^{++} \xrightarrow{\mathfrak{tr}_{n+1,n}} \mathcal{O}_{m|n}^{++} \longrightarrow \dots$$

send tilting, Kac, irreducible modules to the corresponding ones (or to zero 'when not well-defined').

## Reductive Lie Algebra $\mathfrak{gl}(m+n)$ ABC:

- $\mathfrak{gl}(m+n) \supset \text{parabolic } \mathfrak{p}_c := \mathfrak{g}_0 + \mathfrak{b}_c \supset \text{Borel } \mathfrak{b}_c \supset \text{Cartan } \mathfrak{h}_c$ ,  
where  $\mathfrak{g}_0 = \mathfrak{gl}(m) \oplus \mathfrak{gl}(n)$
- $\mathcal{O}_{m+n}^+$ : category of finitely generated integral-weight  $\mathfrak{gl}(m+n)$ -modules,  
locally finite over  $\mathfrak{p}_c$ , semisimple over  $\mathfrak{g}_0$

Objects: generalized Verma  $\mathcal{K}(\lambda)$ ; the irreducible  $\mathcal{L}(\lambda)$ ; tilting module  $\mathcal{U}(\lambda)$ ,  $\lambda \in X_{m+n}^+$

- $\delta'_i := i$ -th fundamental weight,  $i \in I(m|n)$
- $\rho_c := m\delta'_{-m} + \cdots + 2\delta'_{-2} + \delta'_{-1} + 0\delta'_1 - \delta'_2 - \cdots - (n-1)\delta'_n$
- $X_{m+n}^+ \xrightarrow{\sim} \mathbb{Z}_+^{m+n}$  ( $\rho_c$ -shifted): counterparts of  $X_{m|n}^+ \xrightarrow{\sim} \mathbb{Z}_+^{m|n}$

## Reformulation of KL for $\mathcal{O}_{m+n}^+$ (following Brundan):

- introduce the Fock space

$$\mathcal{E}^{m+n} := \Lambda^m \mathbb{V} \otimes \Lambda^n \mathbb{V}$$

and its canonical basis  $\{\mathcal{U}_f\}$ , dual canonical basis  $\{\mathcal{L}_f\}$

- define KL polynomials  $u_{g,f}(q) \in \mathbb{Z}[q]$ ,  $l_{g,f}(q) \in \mathbb{Z}[q^{-1}]$ :

$$\mathcal{U}_f = \sum_{g \in \mathbb{Z}_+^{m+n}} u_{g,f}(q) \mathcal{K}_g, \quad \mathcal{L}_f = \sum_{g \in \mathbb{Z}_+^{m+n}} l_{g,f}(q) \mathcal{K}_g$$

- Category  $\mathcal{O}_{m+n}^+$  is governed by KL polynomials at  $q = 1$ :  
g.Verma  $\mathcal{K}(\lambda) \leftrightarrow \mathcal{K}_\lambda$ , tilting  $\mathcal{U}(\lambda) \leftrightarrow \mathcal{U}_\lambda$ , irreducible  $\mathcal{L}(\lambda) \leftrightarrow \mathcal{L}_\lambda$
- (CWZ) Explicit formulas for  $u_{g,f}(q)$  and  $l_{g,f}(q)$
- related works: Beilinson-Bernstein, Brylinski-Kashiwara, Collingwood-Irving, Enright-Shelton, Lascoux-Schützenberger, Leclerc-Miyachi, etc.

### Passing to $n = \infty$ :

- Same techniques are applicable to the Fock spaces and categories on the reductive side  
E.g. truncations maps and truncation functors etc
- Explicit formulas for KL polynomials in  $\widehat{\mathcal{E}}^{m+\infty}$

## Isomorphism of Fock spaces:

$\Lambda^\infty \mathbb{V}$  = space of semi-infinite  $q$ -wedges of Kashiwara-Miwa-Stern;

$\Lambda^\infty \mathbb{V}^*$  is similarly defined

**Proposition 0.3.** • As  $\mathcal{U} = U_q(\mathfrak{sl}(\infty))$ -modules,

$$\Lambda^\infty \mathbb{V} \cong \Lambda^\infty \mathbb{V}^*$$

*Indeed, both are isomorphic to the basic representation of  $\mathcal{U}$ ;*

- *This isomorphism identifies basis vectors*

$v_{\lambda_1} \wedge v_{\lambda_2-1} \wedge v_{\lambda_3-2} \wedge \cdots$  with

$$w_{1-\lambda'_1} \wedge w_{2-\lambda'_2} \wedge w_{3-\lambda'_3} \wedge \cdots .$$

*Here  $\lambda'$  is the conjugate partition of the partition*

$\lambda = (\lambda_1, \lambda_2, \cdots)$ .

## Isomorphism of Fock spaces (cont'd):

**Theorem 0.4.** • As  $\mathcal{U}$ -modules,  $\natural : \widehat{\mathcal{E}}^{m+\infty} \cong \widehat{\mathcal{E}}^{m|\infty}$ ;

•  $\exists$  bijections  $\mathbb{Z}_+^{m+\infty} \xleftrightarrow{\natural} \mathbb{Z}_+^{m|\infty}$  and  $X_{m+\infty}^+ \xleftrightarrow{\natural} X_{m|\infty}^+$ ;

•  $\natural$  is compatible with bar involutions, (super) Bruhat orderings, and thus canonical/dual canonical bases:

$$\natural(\mathcal{K}_f) = K_{f^\natural}, \quad \natural(\mathcal{L}_f) = L_{f^\natural}, \quad \natural(\mathcal{U}_f) = U_{f^\natural}$$

## Super Duality:

- Theorem 0.5.** •  $\exists$  isomorphism of the Grothendieck groups  
 $\# : G(\mathcal{O}_{m+\infty}^+) \rightarrow G(\mathcal{O}_{m|\infty}^+)$  which sends the tilting, generalized Verma, irreducible modules to the tilting, Kac, irreducible modules respectively;
- $\#$  is a ring isomorphism.

## Identifications of super and reductive KL polynomials:

- Given  $\lambda, \mu \in X_{m|n}^{++}$ , define  $\lambda_\infty := (\lambda, 0, 0, \dots) \in X_{m|\infty}^+$ ;

write  $\lambda_\infty^{\natural} = ((\lambda_\infty^{\natural})^{<0} | (\lambda_\infty^{\natural})^{>0}) \in X_{m+\infty}^+$

- Assuming lengths of partitions  $(\mu_\infty^{\natural})^{>0}$  and  $(\lambda_\infty^{\natural})^{>0}$  are  $\leq N$ ,  
truncate  $\lambda_\infty^{\natural}, \mu_\infty^{\natural}$  to  $\lambda_\infty^{\natural, (N)}, \mu_\infty^{\natural, (N)} \in X_{m+N}^{++}$

- $\ell_{\mu, \lambda}(q) = \ell_{\mu_\infty^{\natural, (N)}, \lambda_\infty^{\natural, (N)}}(q)$ ; and,

$$u_{\mu, \lambda}(q) = u_{\mu_\infty, \lambda_\infty}(q) \quad \text{by stability of Brundan KL,}$$

$$= u_{\mu_\infty^{\natural}, \lambda_\infty^{\natural}}(q) \quad \text{by super duality,}$$

$$= u_{\mu_\infty^{\natural, (N)}, \lambda_\infty^{\natural, (N)}}(q) \quad \text{by stability of KL;}$$

- $N$  depends on  $\lambda$  and  $\mu$  and could be arbitrarily large for a given  $n$

## An Analogue:

- Compare with the involution  $\omega$  on the ring of symmetric functions determined by

$$\omega(e_i) = h_i$$

- It is no longer an isomorphism when restricted to finitely many variables.