

LETTER TO THE EDITOR

Characterisation of the low-frequency scattering data in the inverse problem of geophysics

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Received 17 October 1986

Abstract. Let $(\nabla^2 + k^2 + k^2 v(x))u(x, y, k) = -\delta(x - y)$, $x \in R^3$. Here u is the acoustic field generated by the point source located at the point y in an inhomogeneous medium with refraction coefficient $1 + v(x)$. Assume that (*) $\{v(x) \in L^2(R^3), v(x) = 0 \text{ if } x_3 \geq 0 \text{ or } |x_j| > R, 1 \leq j \leq 3, \text{ where } R > 0 \text{ is an arbitrary large number}\}$. The low-frequency scattering data are defined to be the function $f(x^1, y^1) := 16\pi^2 \lim_{k \rightarrow 0} k^{-2} u_s(x^1, y^1, k)$ for all $x^1, y^1 \in P = \{x: x_3 = 0\}$ and $0 < k < k_0$, where $k_0 > 0$ is a fixed number, and $u_s(x^1, y^1, k)$ is the scattered field. Necessary and sufficient conditions are given for a function $f(x^1, y^1)$ to be the low-frequency scattering data corresponding to $v(x)$ which satisfies conditions (*). Here $x^1 = (x_1, x_2, 0)$.

Let

$$(\nabla^2 + k^2 + k^2 v(x))u(x, y, k) = -\delta(x - y) \quad x \in R^3, \quad k > 0. \tag{1}$$

Assume that

$$v(x) = 0 \quad \text{if } x_3 \geq 0 \text{ or } |x_j| > R \quad j = 1, 2, 3, \quad v(x) \in L^2(R^3). \tag{2}$$

The scattered field measured on the plane $P = \{x: x_3 = 0\}$ is

$$u_s(x^1, y^1, k) := u(x^1, y^1, k) - u_0(x^1, y^1, k) \quad u_0(x, y, k) := g := \frac{\exp(ik|x - y|)}{4\pi|x - y|} \tag{3}$$

where u_0 is the incident field, the field in the absence of the inhomogeneity $v(x)$. The inverse problem of geophysics consists in finding $v(x)$, the inhomogeneity, from the scattering data $u_s(x^1, y^1, k)$, $x^1, y^1 \in P$, $0 < k \leq k_0$. Here x^1 and y^1 run through all of P and $k_0 > 0$ is an arbitrary (small) fixed number.

This inverse problem was solved exactly (in closed form) by the author in 1983. The solution is presented in detail in [1, ch. 6], where the low-frequency scattering data are defined to be $f(x^1, y^1) := 16\pi^2 \lim_{k \rightarrow 0} k^{-2} u_s(x^1, y^1, k)$. The purpose of this Letter is to formulate necessary and sufficient conditions on the given function $f(x^1, y^1)$, $x^1, y^1 \in P$, for this function to be the low-frequency scattering data corresponding to the inhomogeneity $v(x)$ which satisfies assumptions (2). In other words, the purpose is to give a characterisation of the low-frequency scattering data for the inverse problem of geophysics.

In order to do this, let us recall (without proofs) the solution given in [1, pp 218–22]. One writes (1) as

$$u = g + k^2 \int g(x, z, k)v(z)u(z, y, k)dz \quad \int = \int_{R^3}. \tag{4}$$

For sufficiently small k equation (4) is uniquely solvable by iterations and one proves that

$$f(x^1, y^1) = 16\pi^2 \lim_{k \rightarrow 0} k^{-2} u_s(x^1, y^1, k) = \int (|x^1 - z| |y^1 - z|)^{-1} v(z) dz. \quad (5)$$

This integral equation for $v(z)$ is solved analytically in [1] (in closed form). The solution is given by the formula

$$v(z_1, z_2, z_3) = \frac{1}{4} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} dp_1 dp_2 \exp(-ip \cdot z^1) (2\pi i)^{-1} \int_{c-i\infty}^{c+i\infty} dq \exp(-qz_3) \phi(p_1, p_2, q) \quad (6)$$

where $c > 0$ is an arbitrary constant, $p \cdot z^1 = p_1 z_1 + p_2 z_2$,

$$\phi(p_1, p_2, q) = q^2 F(p_1, p_2, q/2, q/2) \quad (7)$$

$$F(p_1, p_2, p_3, p_4) = (2\pi)^{-4} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(x^1, y^1) \exp[i(\lambda \cdot x^1 + \mu \cdot y^1)] dx^1 dy^1 = \tilde{f}(\lambda, \mu) \quad (8)$$

$\lambda = (\lambda_1, \lambda_2)$, $\mu = (\mu_1, \mu_2)$, $dx^1 = dx_1 dx_2$, $dy^1 = dy_1 dy_2$, and the function on the right-hand side of (8) is expressed in terms of the variables p_1, p_2, p_3, p_4 via the formulae

$$\begin{aligned} p_1 &= \lambda_1 + \mu_1 & p_2 &= \lambda_2 + \mu_2 \\ p_3 &= (\lambda_1^2 + \lambda_2^2)^{1/2} = |\lambda| & p_4 &= (\mu_1^2 + \mu_2^2)^{1/2} = |\mu|. \end{aligned} \quad (9)$$

The Jacobian J of the mapping $(\lambda_1, \lambda_2, \mu_1, \mu_2) \rightarrow (p_1, p_2, p_3, p_4)$ is $J = (|\lambda| |\mu|)^{-1} (\mu_1 \lambda_2 - \mu_2 \lambda_1)$. Note that $J \neq 0$ if the vectors μ and λ are linearly independent. Formulae (6)–(9) are derived in [1, pp 221–2].

From formulae (6), (7) and (9) one obtains the following characterisation of the low-frequency data $f(x^1, y^1)$ in the inverse problem of geophysics.

Theorem. For a function $f(x^1, y^1)$, $x^1, y^1 \in P$, to be the low-frequency data corresponding to an inhomogeneity $v(z)$ which satisfies conditions (2) it is necessary and sufficient that the function $\phi(p_1, p_2, q)$ defined by formulae (7)–(9) be an entire function of its three variables of exponential type $\leq R$ such that

$$\sup_{\sigma \geq 0} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} |\phi(p_1, p_2, \sigma + i\tau)|^2 dp_1 dp_2 d\tau < \infty. \quad (10)$$

Proof. The following well-known Paley–Wiener theorems [2] are needed for the proof.

1. A function $\psi(p)$ is an entire function of exponential type $\leq R$ such that

$$\int_{-\infty}^{\infty} |\psi(p)|^2 dp < \infty \quad \text{iff} \quad \psi(p) = \int_{-R}^R \exp(ip \cdot x) f(x) dx$$

where $f(x) \in L^2(-R, R)$.

2. A function $\psi(p)$ is an entire function of exponential type $\leq R$ such that

$$\sup_{\sigma \geq 0} \int_{-\infty}^{\infty} |\psi(\sigma + i\tau)|^2 d\tau < \infty \quad \text{iff} \quad \psi(p) = \int_0^R \exp(-pt) f(t) dt$$

where $f \in L^2(0, R)$. Formula (6) can be written as

$$\phi(p_1, p_2, q) = \pi^{-2} \int_0^{\infty} \left(\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} v(z_1, z_2, -z_3) \exp(ip \cdot z^1) dz^1 \right) \exp(-qz_3) dz_3. \quad (11)$$

From the theorems 1 and 2 it follows that conditions (2) are satisfied iff $\varphi(p_1, p_2, q)$ is an entire function of its three variables of exponential type $\leq R$ such that inequality (10) holds.

Remark. Under the assumptions of the theorem above the function $\varphi(p_1, p_2, q)$ is bounded in the half-plane $\operatorname{Re} q \geq 0$. Indeed, it follows from (11) that

$$\varphi(p_1, p_2, q) = \int_0^R d\eta \exp(-q\eta) w(p_1, p_2, \eta)$$

$$w := \pi^{-2} \int_{-R}^R \int_{-R}^R v(z_1, z_2, -\eta) \exp(ip \cdot z^1) dz_1 dz_2.$$

Thus, Cauchy's inequality yields

$$\int_0^R d\eta |w|^2 \leq c_1(R) \int_0^R \int_{-R}^R \int_{-R}^R |v(z_1, z_2, -\eta)|^2 dz_1 dz_2 d\eta \leq C(R) \quad C(R) = \text{constant} > 0$$

since by assumption $v \in L^2$.

References

- [1] Ramm A G 1986 *Scattering by Obstacles* (Dordrecht: Reidel)
- [2] Fuks B A 1963 *Theory of Analytic Functions of Several Complex Variables* (Providence, RI: Am. Math. Soc.)